Algorithm 2 mergesort(list *L*)

- 1: $n \leftarrow \text{size}(L)$
- 2: if $n \le 1$ return L
- 3: $L_1 \leftarrow L[1 \cdots \lfloor \frac{n}{2} \rfloor]$
- 4: $L_2 \leftarrow L[\lfloor \frac{n}{2} \rfloor + 1 \cdots n]$
- 5: $mergesort(L_1)$
- 6: $mergesort(L_2)$
- 7: $L \leftarrow \text{merge}(L_1, L_2)$
- 8: return L

Algorithm 2 mergesort(list *L*)

1: $n \leftarrow \text{size}(L)$ 2: **if** $n \le 1$ **return** L3: $L_1 \leftarrow L[1 \cdots \lfloor \frac{n}{2} \rfloor]$ 4: $L_2 \leftarrow L[\lfloor \frac{n}{2} \rfloor + 1 \cdots n]$ 5: mergesort(L_1)

6: mergesort(L_2)
7: $L \leftarrow \text{merge}(L_1, L_2)$ 8: **return** L

This algorithm requires

$$T(n) = T\left(\left\lceil \frac{n}{2}\right\rceil\right) + T\left(\left\lfloor \frac{n}{2}\right\rfloor\right) + \mathcal{O}(n) \le 2T\left(\left\lceil \frac{n}{2}\right\rceil\right) + \mathcal{O}(n)$$

comparisons when n > 1 and 0 comparisons when $n \le 1$.



6 Recurrences

How do we bring the expression for the number of comparisons (≈ running time) into a closed form?

How do we bring the expression for the number of comparisons (≈ running time) into a closed form?

For this we need to solve the recurrence.

Methods for Solving Recurrences

1. Guessing+Induction

Guess the right solution and prove that it is correct via induction. It needs experience to make the right guess.

2. Master Theorem

For a lot of recurrences that appear in the analysis of algorithms this theorem can be used to obtain tight asymptotic bounds. It does not provide exact solutions.

3. Characteristic Polynomial

Linear homogenous recurrences can be solved via this method.



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Methods for Solving Recurrences

4. Generating Functions

A more general technique that allows to solve certain types of linear inhomogenous relations and also sometimes non-linear recurrence relations.

5. Transformation of the Recurrence

Sometimes one can transform the given recurrence relations so that it e.g. becomes linear and can therefore be solved with one of the other techniques.



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First we need to get rid of the \mathcal{O} -notation in our recurrence:

$$T(n) \le \begin{cases} 2T(\lceil \frac{n}{2} \rceil) + cn & n \ge 2\\ 0 & \text{otherwise} \end{cases}$$

Informal way:

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Informal way:

Assume that instead we have

$$T(n) \le \begin{cases} 2T(\frac{n}{2}) + cn & n \ge 2\\ 0 & \text{otherwise} \end{cases}$$

One way of solving such a recurrence is to guess a solution, and check that it is correct by plugging it in.

Suppose we guess $T(n) \le dn \log n$ for a constant d.

16/88

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if we choose $d \ge c$.

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$$= dn\log n + (c - d)n$$

$$\le dn\log n$$

if we choose d > c.

Formally, this is not correct if n is not a power of 2. Also even in this case one would need to do an induction proof.

$$T(n) \le \begin{cases} 2T(\frac{n}{2}) + cn & n \ge 16 \\ b & \text{otw.} \end{cases}$$

Guess: $T(n) \le dn \log n$.

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Guess: $T(n) \le dn \log n$. Proof. (by induction)

 $T(n) \le \begin{cases} 2T(\frac{n}{2}) + cn & n \ge 16 \\ b & \text{otw.} \end{cases}$

Guess: $T(n) \leq dn \log n$.

Proof. (by induction)

base case $(2 \le n < 16)$:

 $T(n) \le \begin{cases} 2T(\frac{n}{2}) + cn & n \ge 16 \\ b & \text{otw.} \end{cases}$

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base case $(2 \le n < 16)$: true if we choose $d \ge b$.

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▶ induction step $n/2 \rightarrow n$:

 $T(n) \le \begin{cases} 2T(\frac{n}{2}) + cn & n \ge 16 \\ b & \text{otw.} \end{cases}$

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Guess: $T(n) \leq dn \log n$.

Proof. (by induction)

- **base case** $(2 \le n < 16)$: true if we choose $d \ge b$.
- ▶ induction step $n/2 \rightarrow n$:

Let $n = 2^k \ge 16$. Suppose statem. is true for n' = n/2. We prove it for n:

$$T(n) \le 2T\left(\frac{n}{2}\right) + cn$$

$$\le 2\left(d\frac{n}{2}\log\frac{n}{2}\right) + cn$$

$$= dn(\log n - 1) + cn$$

$$= dn\log n + (c - d)n$$

$$\le dn\log n$$

Hence, statement is true if we choose $d \ge c$.

How do we get a result for all values of n?

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We consider the following recurrence instead of the original one:

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We consider the following recurrence instead of the original one:

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Note that we can do this as for constant-sized inputs the running time is always some constant (b in the above case).

We also make a guess of $T(n) \le dn \log n$ and get

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$$\le 2\left(d\left\lceil \frac{n}{2} \right\rceil \log\left\lceil \frac{n}{2} \right\rceil\right) + cn$$

$$\boxed{\left\lceil \frac{n}{2} \right\rceil \le \frac{n}{2} + 1} \le 2\left(d(n/2 + 1)\log(n/2 + 1)\right) + cn$$

$$T(n) \le 2T\left(\left\lceil \frac{n}{2} \right\rceil\right) + cn$$

$$\le 2\left(d\left\lceil \frac{n}{2} \right\rceil \log\left\lceil \frac{n}{2} \right\rceil\right) + cn$$

$$\left\lceil \frac{n}{2} \right\rceil \le \frac{n}{2} + 1 \le \frac{9}{16}n$$

$$\le 2\left(d(n/2 + 1)\log(n/2 + 1)\right) + cn$$

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$$\left\lceil \frac{n}{2} + 1 \le \frac{9}{16}n \right\rceil \le dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

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$$\log \frac{9}{16}n = \log n + (\log 9 - 4)$$

$$T(n) \le 2T\left(\left\lceil \frac{n}{2} \right\rceil\right) + cn$$

$$\le 2\left(d\left\lceil \frac{n}{2} \right\rceil \log\left\lceil \frac{n}{2} \right\rceil\right) + cn$$

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$$\left\lceil \frac{n}{2} + 1 \le \frac{9}{16}n \right\rceil \le dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

$$\log \frac{9}{16}n = \log n + (\log 9 - 4) = dn \log n + (\log 9 - 4)dn + 2d \log n + cn$$

We also make a guess of $T(n) \leq dn \log n$ and get

$$T(n) \leq 2T\left(\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\leq 2\left(d\left\lceil\frac{n}{2}\right\rceil\log\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\left\lceil\frac{n}{2}\right\rceil \leq \frac{n}{2} + 1\right\rceil \leq 2\left(d(n/2 + 1)\log(n/2 + 1)\right) + cn$$

$$\left\lceil\frac{n}{2} + 1 \leq \frac{9}{16}n\right\rceil \leq dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

$$\left\lceil\frac{n}{2} + 1 \leq \frac{9}{16}n\right\rceil = \log n + (\log 9 - 4)$$

$$= dn\log n + (\log 9 - 4)dn + 2d\log n + cn$$

$$\log \frac{9}{16}n = \log n + (\log 9 - 4)$$

$$\log n \leq \frac{n}{4}$$

6.1 Guessing+Induction

$$T(n) \leq 2T\left(\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\leq 2\left(d\left\lceil\frac{n}{2}\right\rceil\log\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

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$$\left\lceil\frac{n}{2} + 1 \leq \frac{9}{16}n\right\rceil \leq dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

$$\log\frac{9}{16}n = \log n + (\log 9 - 4)$$

$$= dn\log n + (\log 9 - 4)dn + 2d\log n + cn$$

$$\log n \leq \frac{n}{4} \leq dn\log n + (\log 9 - 3.5)dn + cn$$

$$T(n) \leq 2T\left(\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\leq 2\left(d\left\lceil\frac{n}{2}\right\rceil\log\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\left\lceil\frac{n}{2}\right\rceil \leq \frac{n}{2} + 1 \leq 2\left(d(n/2 + 1)\log(n/2 + 1)\right) + cn$$

$$\left\lceil\frac{n}{2} + 1 \leq \frac{9}{16}n\right\rceil \leq dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

$$\log\frac{9}{16}n = \log n + (\log 9 - 4) = dn\log n + (\log 9 - 4)dn + 2d\log n + cn$$

$$\left\lceil\log n \leq \frac{n}{4}\right\rceil \leq dn\log n + (\log 9 - 3.5)dn + cn$$

$$\leq dn\log n - 0.33dn + cn$$

We also make a guess of $T(n) \le dn \log n$ and get

$$T(n) \leq 2T\left(\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\leq 2\left(d\left\lceil\frac{n}{2}\right\rceil\log\left\lceil\frac{n}{2}\right\rceil\right) + cn$$

$$\left\lceil\frac{n}{2}\right\rceil \leq \frac{n}{2} + 1\right\rceil \leq 2\left(d(n/2 + 1)\log(n/2 + 1)\right) + cn$$

$$\left\lceil\frac{n}{2} + 1 \leq \frac{9}{16}n\right\rceil \leq dn\log\left(\frac{9}{16}n\right) + 2d\log n + cn$$

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$$\leq dn\log n - 0.33dn + cn$$

$$\leq dn\log n$$

for a suitable choice of d.

6.2 Master Theorem

Lemma 1

Let $a \ge 1, b > 1$ and $\epsilon > 0$ denote constants. Consider the recurrence

$$T(n) = aT\left(\frac{n}{h}\right) + f(n) .$$

Case 1.

If
$$f(n) = \mathcal{O}(n^{\log_b(a) - \epsilon})$$
 then $T(n) = \Theta(n^{\log_b a})$.

Case 2.

If
$$f(n) = \Theta(n^{\log_b(a)} \log^k n)$$
 then $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$, $k \ge 0$.

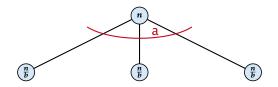
Case 3.

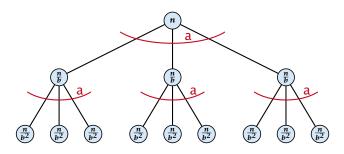
If
$$f(n) = \Omega(n^{\log_b(a) + \epsilon})$$
 and for sufficiently large n $af(\frac{n}{b}) \le cf(n)$ for some constant $c < 1$ then $T(n) = \Theta(f(n))$.

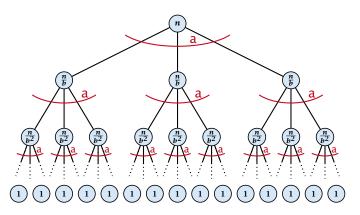
6.2 Master Theorem

We prove the Master Theorem for the case that n is of the form b^{ℓ} , and we assume that the non-recursive case occurs for problem size 1 and incurs cost 1.

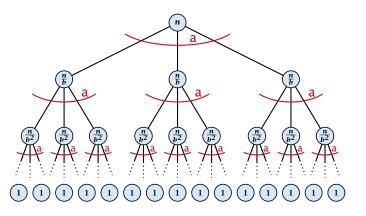






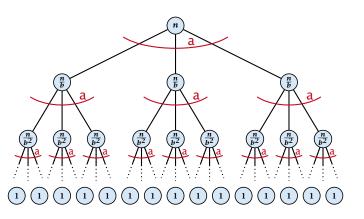


The running time of a recursive algorithm can be visualized by a recursion tree:



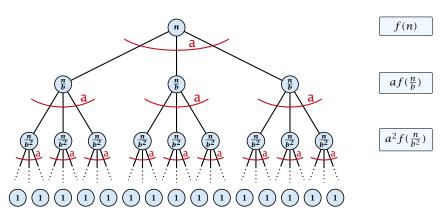
f(n)

The running time of a recursive algorithm can be visualized by a recursion tree:

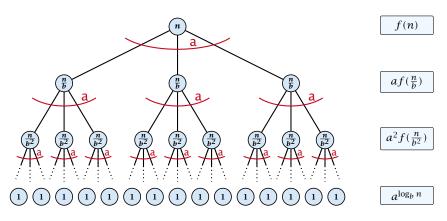


f(n)

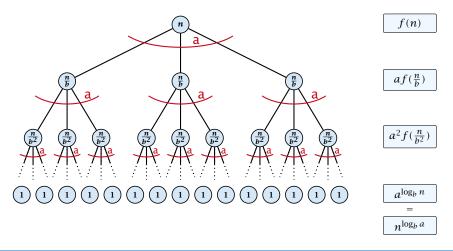
 $af(\frac{n}{b})$



The running time of a recursive algorithm can be visualized by a recursion tree:



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6.2 Master Theorem

This gives

$$T(n) = n^{\log_b a} + \sum_{i=0}^{\log_b n-1} a^i f\left(\frac{n}{b^i}\right) \ .$$

$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$b^{-i(\log_b a - \epsilon)} = b^{\epsilon i} (b^{\log_b a})^{-i} = b^{\epsilon i} a^{-i}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$b^{-i(\log_b a - \epsilon)} = b^{\epsilon i} (b^{\log_b a})^{-i} = b^{\epsilon i} a^{-i} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b a - \epsilon} (b^{\epsilon})^i$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q-1}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\underline{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{a^{-1}} = c n^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1) / (b^{\epsilon} - 1)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\underline{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\underline{\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q - 1}} = c n^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1)/(b^{\epsilon} - 1)$$

$$= c n^{\log_b a - \epsilon} (n^{\epsilon} - 1)/(b^{\epsilon} - 1)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= \frac{c}{b^{\epsilon} - 1} n^{\log_b a} (n^{\epsilon} - 1)/(n^{\epsilon})$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$\begin{bmatrix}
b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}
\end{bmatrix} = cn^{\log_b a - \epsilon} \sum_{i=0} (b^{\epsilon})^i$$

$$\begin{bmatrix}
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\end{bmatrix} = cn^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1)/(b^{\epsilon} - 1)$$

$$= cn^{\log_b a - \epsilon} (n^{\epsilon} - 1)/(b^{\epsilon} - 1)$$

$$= \frac{c}{b^{\epsilon} - 1} n^{\log_b a} (n^{\epsilon} - 1)/(n^{\epsilon})$$

Hence,

$$T(n) \le \left(\frac{c}{h^{\epsilon} - 1} + 1\right) n^{\log_b(a)}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\begin{bmatrix} b^{-i(\log_b a - \epsilon)} = b^{\epsilon i} (b^{\log_b a})^{-i} = b^{\epsilon i} a^{-i} \end{bmatrix} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\begin{bmatrix} \sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q - 1} \end{bmatrix} = c n^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1) / (b^{\epsilon} - 1)$$

$$= c n^{\log_b a - \epsilon} (n^{\epsilon} - 1) / (b^{\epsilon} - 1)$$

$$= c n^{\log_b a} { (n^{\epsilon} - 1)/(b^{\epsilon} - 1)}$$
$$= \frac{c}{b^{\epsilon} - 1} n^{\log_b a} (n^{\epsilon} - 1)/(n^{\epsilon})$$

Hence,

$$T(n) \le \left(\frac{c}{b^{\epsilon} - 1} + 1\right) n^{\log_b(a)}$$

$$\Rightarrow T(n) = \mathcal{O}(n^{\log_b a}).$$

Case 2. Now suppose that $f(n) \le c n^{\log_b a}$.

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$$T(n) - n^{\log_b a}$$

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$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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Hence,

$$T(n) = \mathcal{O}(n^{\log_b a} \log_b n)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\begin{split} T(n) - n^{\log_b a} &= \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right) \\ &\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a} \end{split}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

Hence,

$$T(n) = \mathbf{\Omega}(n^{\log_b a} \log_b n)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

Hence,

$$T(n) = \mathbf{\Omega}(n^{\log_b a} \log_b n)$$
 $\Rightarrow T(n) = \mathbf{\Omega}(n^{\log_b a} \log n).$

$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a} \cdot \left(\log_b \left(\frac{n}{b^i}\right)\right)^k$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$n=b^\ell\Rightarrow \ell=\log_b n$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$n = b^{\ell} \Rightarrow \ell = \log_b n$$

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$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$= c n^{\log_b a} \sum_{i=0}^{\ell} i^k \approx \frac{1}{k} \ell^{k+1}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$\approx \frac{c}{b} n^{\log_b a} \ell^{k+1}$$

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$$\approx \frac{c}{b} n^{\log_b a} \ell^{k+1} \qquad \Rightarrow T(n) = \mathcal{O}(n^{\log_b a} \log^{k+1} n).$$

Case 3. Now suppose that $f(n) \ge dn^{\log_b a + \epsilon}$, and that for sufficiently large n: $af(n/b) \le cf(n)$, for c < 1.

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq \sum_{i=0}^{\log_b n - 1} c^i f(n) + \mathcal{O}(n^{\log_b a})$$

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Hence,

$$T(n) \le \mathcal{O}(f(n))$$

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$$q < 1: \sum_{i=0}^n q^i = \frac{1 - q^{n+1}}{1 - q} \leq \frac{1}{1 - c} f(n) + \mathcal{O}(n^{\log_b a})$$

Hence,

$$T(n) \leq \mathcal{O}(f(n))$$

$$\Rightarrow T(n) = \Theta(f(n)).$$

Example: Multiplying Two Integers

Suppose we want to multiply two n-bit Integers, but our registers can only perform operations on integers of constant size.

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Suppose we want to multiply two n-bit Integers, but our registers can only perform operations on integers of constant size.

For this we first need to be able to add two integers A and B:

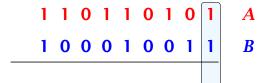
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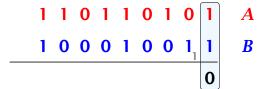


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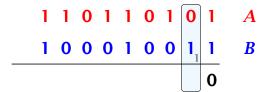


Suppose we want to multiply two n-bit Integers, but our registers can only perform operations on integers of constant size.

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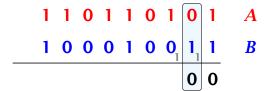


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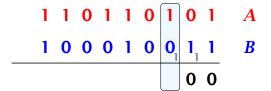
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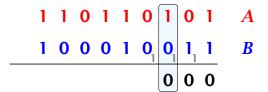


25. Oct. 2024

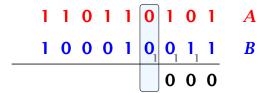
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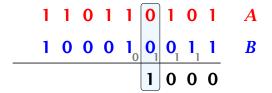


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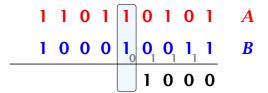


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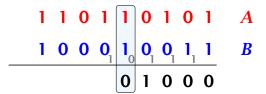
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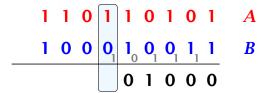
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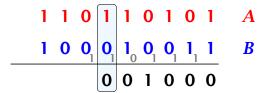
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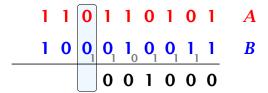
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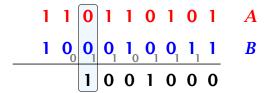


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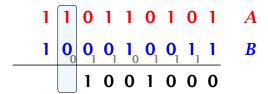
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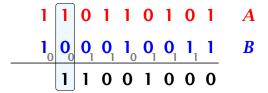


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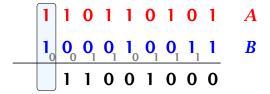
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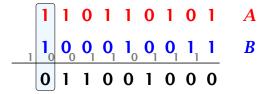
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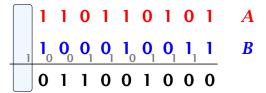
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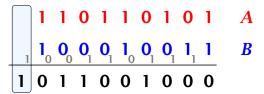
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For this we first need to be able to add two integers \mathbf{A} and \mathbf{B} :

This gives that two n-bit integers can be added in time $\mathcal{O}(n)$.

6.2 Master Theorem

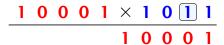
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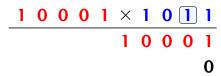
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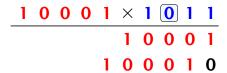
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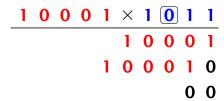


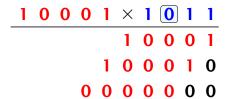




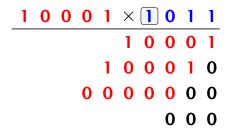


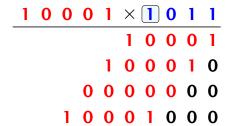












1	0	0	0	1	X	1	0	1	1
					1	0	0	0	1
				1	0	0	0	1	0
			0	0	0	0	0	0	0
		1	0	0	0	1	0	0	0

_	1	0	0	0	1	×	1	0	1	1
						1	0	0	0	1
					1	0	0	0	1	0
				0	0	0	0	0	0	0
			1	0	0	0	1	0	0	0
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Time requirement:

Suppose that we want to multiply an n-bit integer A and an m-bit integer B ($m \le n$).

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Time requirement:

▶ Computing intermediate results: O(nm).

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		1	0	0	0	1	0	0	0
		1	0	1	1	1	0	1	1

Time requirement:

- ▶ Computing intermediate results: O(nm).
- ▶ Adding m numbers of length $\leq 2n$: $\mathcal{O}((m+n)m) = \mathcal{O}(nm)$.

A recursive approach:

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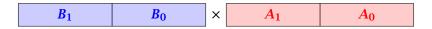
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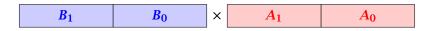
$$b_{n-1}$$
 \cdots $b_{\frac{n}{2}}$ $b_{\frac{n}{2}-1}$ \cdots b_0 \times a_{n-1} \cdots $a_{\frac{n}{2}}$ $a_{\frac{n}{2}-1}$ \cdots a_0

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A recursive approach:

Suppose that integers **A** and **B** are of length $n = 2^k$, for some k.



Then it holds that

$$A = A_1 \cdot 2^{\frac{n}{2}} + A_0$$
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Hence,

$$A \cdot B = A_1 B_1 \cdot 2^n + (A_1 B_0 + A_0 B_1) \cdot 2^{\frac{n}{2}} + A_0 B_0$$

Algorithm 3 mult(A, B)

1: **if** |A| = |B| = 1 **then** 2: **return** $a_0 \cdot b_0$

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	1: if $ A = B = 1$ then	$\mathcal{O}(1)$			
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	3: $\operatorname{split} A$ into A_0 and A_1	$\mathcal{O}(n)$			
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We get the following recurrence:

$$T(n) = 4T\left(\frac{n}{2}\right) + \mathcal{O}(n) .$$

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Master Theorem: Recurrence: $T[n] = aT(\frac{n}{b}) + f(n)$.

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⇒ Not better then the "school method".

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A huge improvement over the "school method".

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- ▶ T(n) only depends on the k preceding values. This means the recurrence relation is of order k.
- ▶ The recurrence is linear as there are no products of T[n]'s.

Consider the recurrence relation:

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Note that we ignore boundary conditions for the moment.



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Approach:

- First determine all solutions that satisfy recurrence relation.
- Then pick the right one by analyzing boundary conditions.
- First consider the homogenous case.

The solution space

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How do we find a non-trivial solution?

We guess that the solution is of the form λ^n , $\lambda \neq 0$, and see what happens. In order for this guess to fulfill the recurrence we need

$$c_0\lambda^n + c_1\lambda^{n-1} + c_2 \cdot \lambda^{n-2} + \dots + c_k \cdot \lambda^{n-k} = 0$$

for all n > k.



Dividing by λ^{n-k} gives that all these constraints are identical to

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This means that if λ_i is a root (Nullstelle) of $P[\lambda]$ then $T[n] = \lambda_i^n$ is a solution to the recurrence relation.

Let $\lambda_1, \ldots, \lambda_k$ be the k (complex) roots of $P[\lambda]$. Then, because of the vector space property

$$\alpha_1\lambda_1^n + \alpha_2\lambda_2^n + \cdots + \alpha_k\lambda_k^n$$

is a solution for arbitrary values α_i .

Lemma 2

Assume that the characteristic polynomial has k distinct roots $\lambda_1, \ldots, \lambda_k$. Then all solutions to the recurrence relation are of the form

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There is one solution for every possible choice of boundary conditions for $T[1], \ldots, T[k]$.

We show that the above set of solutions contains one solution for every choice of boundary conditions.

Proof (cont.).

Suppose I am given boundary conditions T[i] and I want to see whether I can choose the $\alpha'_i s$ such that these conditions are met:

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We show that the column vectors are linearly independent. Then the above equation has a solution.

$$\begin{vmatrix} \lambda_1 & \lambda_2 & \cdots & \lambda_{k-1} & \lambda_k \\ \lambda_1^2 & \lambda_2^2 & \cdots & \lambda_{k-1}^2 & \lambda_k^2 \\ \vdots & \vdots & & \vdots & \vdots \\ \lambda_1^k & \lambda_2^k & \cdots & \lambda_{k-1}^k & \lambda_k^k \end{vmatrix} =$$

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$$=\prod_{i=1}^k \lambda_i \cdot \begin{vmatrix} 1 & \lambda_1 & \cdots & \lambda_1^{k-2} & \lambda_1^{k-1} \\ 1 & \lambda_2 & \cdots & \lambda_2^{k-2} & \lambda_2^{k-1} \\ \vdots & \vdots & & \vdots & \vdots \\ 1 & \lambda_k & \cdots & \lambda_k^{k-2} & \lambda_k^{k-1} \end{vmatrix}$$

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$$\begin{vmatrix} 1 & 0 & \cdots & 0 & 0 \\ 1 & (\lambda_2 - \lambda_1) \cdot 1 & \cdots & (\lambda_2 - \lambda_1) \cdot \lambda_2^{k-3} & (\lambda_2 - \lambda_1) \cdot \lambda_2^{k-2} \\ \vdots & \vdots & & \vdots & \vdots \\ 1 & (\lambda_k - \lambda_1) \cdot 1 & \cdots & (\lambda_k - \lambda_1) \cdot \lambda_k^{k-3} & (\lambda_k - \lambda_1) \cdot \lambda_k^{k-2} \end{vmatrix}$$

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$$\begin{vmatrix} \sum_{i=2}^{k} (\lambda_i - \lambda_1) \cdot \begin{pmatrix} 1 & \lambda_2 & \cdots & \lambda_2^{k-3} & \lambda_2^{k-2} \\ \vdots & \vdots & & \vdots & \vdots \\ 1 & \lambda_k & \cdots & \lambda_k^{k-3} & \lambda_k^{k-2} \end{pmatrix}$$

Repeating the above steps gives:

$$\begin{vmatrix} \lambda_1 & \lambda_2 & \cdots & \lambda_{k-1} & \lambda_k \\ \lambda_1^2 & \lambda_2^2 & \cdots & \lambda_{k-1}^2 & \lambda_k^2 \\ \vdots & \vdots & & \vdots & \vdots \\ \lambda_1^k & \lambda_2^k & \cdots & \lambda_{k-1}^k & \lambda_k^k \end{vmatrix} = \prod_{i=1}^k \lambda_i \cdot \prod_{i>\ell} (\lambda_i - \lambda_\ell)$$

Hence, if all λ_i 's are different, then the determinant is non-zero.

What happens if the roots are not all distinct?

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To see this consider the polynomial

$$P[\lambda] \cdot \lambda^{n-k} = c_0 \lambda^n + c_1 \lambda^{n-1} + c_2 \lambda^{n-2} + \dots + c_k \lambda^{n-k}$$



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$$P[\lambda] \cdot \lambda^{n-k} = c_0 \lambda^n + c_1 \lambda^{n-1} + c_2 \lambda^{n-2} + \dots + c_k \lambda^{n-k}$$

Since λ_i is a root we can write this as $Q[\lambda] \cdot (\lambda - \lambda_i)^2$. Calculating the derivative gives a polynomial that still has root λ_i .

This means

$$c_0 n \lambda_i^{n-1} + c_1 (n-1) \lambda_i^{n-2} + \dots + c_k (n-k) \lambda_i^{n-k-1} = 0$$

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Hence,

$$c_0 \underbrace{n\lambda_i^n}_{T[n]} + c_1 \underbrace{(n-1)\lambda_i^{n-1}}_{T[n-1]} + \cdots + c_k \underbrace{(n-k)\lambda_i^{n-k}}_{T[n-k]} = 0$$

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We can continue j-1 times.

Hence, $n^{\ell} \lambda_i^n$ is a solution for $\ell \in 0, ..., i-1$.

Lemma 3

Let $P[\lambda]$ denote the characteristic polynomial to the recurrence

$$c_0T[n] + c_1T[n-1] + \cdots + c_kT[n-k] = 0$$

Let λ_i , $i=1,\ldots,m$ be the (complex) roots of $P[\lambda]$ with multiplicities ℓ_i . Then the general solution to the recurrence is given by

$$T[n] = \sum_{i=1}^{m} \sum_{j=0}^{\ell_i - 1} \alpha_{ij} \cdot (n^j \lambda_i^n) .$$

The full proof is omitted. We have only shown that any choice of α_{ij} 's is a solution to the recurrence.

$$T[0] = 0$$

 $T[1] = 1$
 $T[n] = T[n-1] + T[n-2]$ for $n \ge 2$

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Finding the roots, gives

$$\lambda_{1/2} = \frac{1}{2} \pm \sqrt{\frac{1}{4} + 1} = \frac{1}{2} \left(1 \pm \sqrt{5} \right)$$

Hence, the solution is of the form

$$\alpha \left(\frac{1+\sqrt{5}}{2}\right)^n + \beta \left(\frac{1-\sqrt{5}}{2}\right)^n$$

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 gives

$$\alpha\left(\frac{1+\sqrt{5}}{2}\right)+\beta\left(\frac{1-\sqrt{5}}{2}\right)=1 \Rightarrow \alpha-\beta=\frac{2}{\sqrt{5}}$$

Hence, the solution is

$$\frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right]$$

Consider the recurrence relation:

$$c_0T(n) + c_1T(n-1) + c_2T(n-2) + \cdots + c_kT(n-k) = f(n)$$

with $f(n) \neq 0$.

While we have a fairly general technique for solving homogeneous, linear recurrence relations the inhomogeneous case is different.

The general solution of the recurrence relation is

$$T(n) = T_h(n) + T_p(n) ,$$

where T_h is any solution to the homogeneous equation, and T_p is one particular solution to the inhomogeneous equation.

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There is no general method to find a particular solution.

Example:

$$T[n] = T[n-1] + 1$$
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Subtracting the first from the second equation gives,

$$T[n] - T[n-1] = T[n-1] - T[n-2]$$
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Example:

$$T[n] = T[n-1] + 1$$
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I get a completely determined recurrence if I add T[0] = 1 and T[1] = 2.

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 gives $1 + \beta = 2 \Longrightarrow \beta = 1$.

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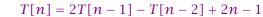
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 and so on...

Definition 4 (Generating Function)

Let $(a_n)_{n\geq 0}$ be a sequence. The corresponding

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 exponential generating function (exponentielle Erzeugendenfunktion) is

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There are no convergence issues here.

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Then, it is important to think about convergence/convergence radius etc.

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This is well-defined.

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Hence, the generating function of the sequence $a_n = n + 1$ is $1/(1-z)^2$.

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Derivative:

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Hence, the generating function of the sequence $a_n = (n+1)(n+2)$ is $\frac{2}{(1-z)^3}$.

$$\sum_{n\geq k} n(n-1)\cdot\ldots\cdot(n-k+1)z^{n-k}$$

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Computing the k-th derivative of $\sum z^n$.

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The generating function of the sequence $a_n = \binom{n+k}{k}$ is $\frac{1}{(1-z)^{k+1}}$.

$$\sum_{n \ge 0} n z^n = \sum_{n \ge 0} (n+1) z^n - \sum_{n \ge 0} z^n$$

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The generating function of the sequence $a_n = n$ is $\frac{z}{(1-z)^2}$.

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The generating function of the sequence $f_n = a^n$ is $\frac{1}{1-az}$.

Suppose we have the recurrence $a_n = a_{n-1} + 1$ for $n \ge 1$ and $a_0 = 1$.

A(z)

$$A(z) = \sum_{n > 0} a_n z^n$$

$$A(z) = \sum_{n \ge 0} a_n z^n$$

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Hence, $a_n = n + 1$.

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$\frac{1}{n!}$	e^z

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$c^n f_n$	F(cz)



1. Set
$$A(z) = \sum_{n \ge 0} a_n z^n$$
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- **6.** The coefficients of the resulting power series are the a_n .



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2. Plug in:

1. Set up generating function:

$$A(z) = \sum_{n \ge 0} a_n z^n$$

Transform right hand side so that recurrence can be plugged in:

$$A(z) = a_0 + \sum_{n>1} a_n z^n$$

2. Plug in:

$$A(z) = 1 + \sum_{n>1} (2a_{n-1})z^n$$

3. Transform right hand side so that infinite sums can be replaced by A(z) or by simple function.

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$$A(z) = 1 + \sum_{n \ge 1} (2a_{n-1})z^n$$
$$= 1 + 2z \sum_{n \ge 1} a_{n-1}z^{n-1}$$

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$$= 1 + 3z \sum_{n \ge 1} a_{n-1} z^{n-1} + \sum_{n \ge 1} n z^n$$

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$$= 1 + 3z \sum_{n\geq 0} a_n z^n + \sum_{n\geq 0} n z^n$$

$$= 1 + 3z A(z) + \frac{z}{(1-z)^2}$$

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gives

$$A(z) = \frac{(1-z)^2 + z}{(1-3z)(1-z)^2} = \frac{z^2 - z + 1}{(1-3z)(1-z)^2}$$

5. Write f(z) as a formal power series:

We use partial fraction decomposition:

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Example:
$$a_n = 3a_{n-1} + n$$
, $a_0 = 1$

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This gives

$$z^{2}-z+1=A(1-z)^{2}+B(1-3z)(1-z)+C(1-3z)$$

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This gives

$$z^{2} - z + 1 = A(1 - z)^{2} + B(1 - 3z)(1 - z) + C(1 - 3z)$$
$$= A(1 - 2z + z^{2}) + B(1 - 4z + 3z^{2}) + C(1 - 3z)$$

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This gives

$$z^{2} - z + 1 = A(1 - z)^{2} + B(1 - 3z)(1 - z) + C(1 - 3z)$$

$$= A(1 - 2z + z^{2}) + B(1 - 4z + 3z^{2}) + C(1 - 3z)$$

$$= (A + 3B)z^{2} + (-2A - 4B - 3C)z + (A + B + C)$$

5. Write f(z) as a formal power series:

This leads to the following conditions:

$$A + B + C = 1$$
$$2A + 4B + 3C = 1$$
$$A + 3B = 1$$

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$$a_n = 3a_{n-1} + n$$
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This leads to the following conditions:

$$A + B + C = 1$$
$$2A + 4B + 3C = 1$$
$$A + 3B = 1$$

which gives

$$A = \frac{7}{4}$$
 $B = -\frac{1}{4}$ $C = -\frac{1}{2}$

$$A(z) = \frac{7}{4} \cdot \frac{1}{1 - 3z} - \frac{1}{4} \cdot \frac{1}{1 - z} - \frac{1}{2} \cdot \frac{1}{(1 - z)^2}$$

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$$= \frac{7}{4} \cdot \sum_{n \ge 0} 3^n z^n - \frac{1}{4} \cdot \sum_{n \ge 0} z^n - \frac{1}{2} \cdot \sum_{n \ge 0} (n + 1) z^n$$

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$$= \sum_{n \ge 0} \left(\frac{7}{4} \cdot 3^n - \frac{1}{4} - \frac{1}{2}(n + 1)\right) z^n$$

$$= \sum_{n \ge 0} \left(\frac{7}{4} \cdot 3^n - \frac{1}{2}n - \frac{3}{4}\right) z^n$$

5. Write f(z) as a formal power series:

$$A(z) = \frac{7}{4} \cdot \frac{1}{1 - 3z} - \frac{1}{4} \cdot \frac{1}{1 - z} - \frac{1}{2} \cdot \frac{1}{(1 - z)^2}$$

$$= \frac{7}{4} \cdot \sum_{n \ge 0} 3^n z^n - \frac{1}{4} \cdot \sum_{n \ge 0} z^n - \frac{1}{2} \cdot \sum_{n \ge 0} (n + 1) z^n$$

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$$= \sum_{n \ge 0} \left(\frac{7}{4} \cdot 3^n - \frac{1}{2} n - \frac{3}{4} \right) z^n$$

6. This means $a_n = \frac{7}{4}3^n - \frac{1}{2}n - \frac{3}{4}$.

6.5 Transformation of the Recurrence

Example 6

$$f_0=1$$

$$f_1=2$$

$$f_n=f_{n-1}\cdot f_{n-2} \text{ for } n\geq 2 \ .$$

Example 6

$$f_0 = 1$$

 $f_1 = 2$
 $f_n = f_{n-1} \cdot f_{n-2}$ for $n \ge 2$.

Define

$$g_n := \log f_n$$
.

Example 6

$$f_0 = 1$$

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$$g_n = g_{n-1} + g_{n-2}$$
 for $n \ge 2$

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 $g_1 = \log 2 = 1$ (for $\log = \log_2$), $g_0 = 0$

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 $g_n = F_n$ (n -th Fibonacci number)

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$$g_n := \log f_n$$
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$$g_n = g_{n-1} + g_{n-2}$$
 for $n \ge 2$
 $g_1 = \log 2 = 1$ (for $\log = \log_2$), $g_0 = 0$
 $g_n = F_n$ (n -th Fibonacci number)
 $f_n = 2^{F_n}$

Example 7

$$f_1 = 1$$

 $f_n = 3f_{\frac{n}{2}} + n$; for $n = 2^k$, $k \ge 1$;

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$$g_k := f_{2^k}$$
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Example 7

$$\begin{split} f_1 &= 1 \\ f_n &= 3f_{\frac{n}{2}} + n; \text{ for } n = 2^k, \ k \geq 1 \ ; \end{split}$$

Define

$$g_k := f_{2^k}$$
.

Then:

$$g_0 = 1$$

Example 7

$$f_1 = 1$$

$$f_n = 3f_{\frac{n}{2}} + n; \text{ for } n = 2^k, k \ge 1 ;$$

Define

$$g_k := f_{2^k}$$
.

Then:

$$g_0 = 1$$

 $g_k = 3g_{k-1} + 2^k, \ k \ge 1$

$$g_k = 3\left[g_{k-1}\right] + 2^k$$

$$g_k = 3 [g_{k-1}] + 2^k$$

= 3 [3g_{k-2} + 2^{k-1}] + 2^k

$$g_k = 3 [g_{k-1}] + 2^k$$

$$= 3 [3g_{k-2} + 2^{k-1}] + 2^k$$

$$= 3^2 [g_{k-2}] + 32^{k-1} + 2^k$$

$$g_k = 3 [g_{k-1}] + 2^k$$

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$$= 3^2 [3g_{k-3} + 2^{k-2}] + 32^{k-1} + 2^k$$

$$= 3^3 g_{k-3} + 3^2 2^{k-2} + 32^{k-1} + 2^k$$

$$g_{k} = 3 [g_{k-1}] + 2^{k}$$

$$= 3 [3g_{k-2} + 2^{k-1}] + 2^{k}$$

$$= 3^{2} [g_{k-2}] + 32^{k-1} + 2^{k}$$

$$= 3^{2} [3g_{k-3} + 2^{k-2}] + 32^{k-1} + 2^{k}$$

$$= 3^{3} g_{k-3} + 3^{2} 2^{k-2} + 32^{k-1} + 2^{k}$$

$$= 2^{k} \cdot \sum_{i=0}^{k} \left(\frac{3}{2}\right)^{i}$$

$$g_{k} = 3 [g_{k-1}] + 2^{k}$$

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$$= 2^{k} \cdot \sum_{i=0}^{k} \left(\frac{3}{2}\right)^{i}$$

$$= 2^{k} \cdot \frac{\left(\frac{3}{2}\right)^{k+1} - 1}{1/2}$$

$$g_{k} = 3 [g_{k-1}] + 2^{k}$$

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$$= 2^{k} \cdot \sum_{i=0}^{k} \left(\frac{3}{2}\right)^{i}$$

$$= 2^{k} \cdot \frac{\left(\frac{3}{2}\right)^{k+1} - 1}{1/2} = 3^{k+1} - 2^{k+1}$$

Let
$$n = 2^k$$
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$$g_k = 3^{k+1} - 2^{k+1}$$
, hence $f_n = 3 \cdot 3^k - 2 \cdot 2^k$

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$$n = 2^k$$
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, hence
 $f_n = 3 \cdot 3^k - 2 \cdot 2^k$
 $= 3(2^{\log 3})^k - 2 \cdot 2^k$
 $= 3(2^k)^{\log 3} - 2 \cdot 2^k$
 $= 3n^{\log 3} - 2n$.