

## 6 Priority Queues

A **Priority Queue  $S$**  is a dynamic set data structure that supports the following operations:

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S.$  build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S.$  build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .
- ▶  **$S.$  insert( $x$ )**: Adds element  $x$  to the data-structure.

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S.$  build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .
- ▶  **$S.$  insert( $x$ )**: Adds element  $x$  to the data-structure.
- ▶ **element  $S.$  minimum()**: Returns an element  $x \in S$  with minimum key-value  $\text{key}[x]$ .

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S.$  build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .
- ▶  **$S.$  insert( $x$ )**: Adds element  $x$  to the data-structure.
- ▶ **element  $S.$  minimum()**: Returns an element  $x \in S$  with minimum key-value  $\text{key}[x]$ .
- ▶ **element  $S.$  delete-min()**: Deletes the element with minimum key-value from  $S$  and returns it.

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S.$  build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .
- ▶  **$S.$  insert( $x$ )**: Adds element  $x$  to the data-structure.
- ▶ **element  $S.$  minimum()**: Returns an element  $x \in S$  with minimum key-value  $\text{key}[x]$ .
- ▶ **element  $S.$  delete-min()**: Deletes the element with minimum key-value from  $S$  and returns it.
- ▶ **boolean  $S.$  is-empty()**: Returns **true** if the data-structure is empty and false otherwise.

## 6 Priority Queues

A **Priority Queue**  $S$  is a dynamic set data structure that supports the following operations:

- ▶  **$S$ . build( $x_1, \dots, x_n$ )**: Creates a data-structure that contains just the elements  $x_1, \dots, x_n$ .
- ▶  **$S$ . insert( $x$ )**: Adds element  $x$  to the data-structure.
- ▶ **element  $S$ . minimum()**: Returns an element  $x \in S$  with minimum key-value  $\text{key}[x]$ .
- ▶ **element  $S$ . delete-min()**: Deletes the element with minimum key-value from  $S$  and returns it.
- ▶ **boolean  $S$ . is-empty()**: Returns **true** if the data-structure is empty and false otherwise.

Sometimes we also have

- ▶  **$S$ . merge( $S'$ )**:  $S := S \cup S'$ ;  $S' := \emptyset$ .

## 6 Priority Queues

An **addressable Priority Queue** also supports:



## 6 Priority Queues

An **addressable Priority Queue** also supports:

- ▶ **handle  $S$ . insert( $x$ )**: Adds element  $x$  to the data-structure, and returns a **handle** to the object for future reference.

## 6 Priority Queues

An **addressable Priority Queue** also supports:

- ▶ **handle  $S$ . insert( $x$ )**: Adds element  $x$  to the data-structure, and returns a **handle** to the object for future reference.
- ▶  **$S$ . delete( $h$ )**: Deletes element specified through handle  $h$ .

## 6 Priority Queues

An **addressable Priority Queue** also supports:

- ▶ **handle  $S$ . insert( $x$ )**: Adds element  $x$  to the data-structure, and returns a **handle** to the object for future reference.
- ▶  **$S$ . delete( $h$ )**: Deletes element specified through handle  $h$ .
- ▶  **$S$ . decrease-key( $h, k$ )**: Decreases the key of the element specified by handle  $h$  to  $k$ . Assumes that the key is at least  $k$  before the operation.

# Dijkstra's Shortest Path Algorithm

## Algorithm 39 Shortest-Path( $G = (V, E, d), s \in V$ )

```
1: Input: weighted graph  $G = (V, E, d)$ ; start vertex  $s$ ;  
2: Output: key-field of every node contains distance from  $s$ ;  
3:  $S.build()$ ; // build empty priority queue  
4: for all  $v \in V \setminus \{s\}$  do  
5:      $v.key \leftarrow \infty$ ;  
6:      $h_v \leftarrow S.insert(v)$ ;  
7:  $s.key \leftarrow 0$ ;  $S.insert(s)$ ;  
8: while  $S.is-empty() = false$  do  
9:      $v \leftarrow S.delete-min()$ ;  
10:    for all  $x \in V$  s.t.  $(v, x) \in E$  do  
11:        if  $x.key > v.key + d(v, x)$  then  
12:             $S.decrease-key(h_x, v.key + d(v, x))$ ;  
13:             $x.key \leftarrow v.key + d(v, x)$ ;
```

# Prim's Minimum Spanning Tree Algorithm

**Algorithm 40** Prim-MST( $G = (V, E, d), s \in V$ )

```
1: Input: weighted graph  $G = (V, E, d)$ ; start vertex  $s$ ;  
2: Output: pred-fields encode MST;  
3:  $S.build()$ ; // build empty priority queue  
4: for all  $v \in V \setminus \{s\}$  do  
5:      $v.key \leftarrow \infty$ ;  
6:      $h_v \leftarrow S.insert(v)$ ;  
7:  $s.key \leftarrow 0$ ;  $S.insert(s)$ ;  
8: while  $S.is-empty() = \text{false}$  do  
9:      $v \leftarrow S.delete-min()$ ;  
10:    for all  $x \in V$  s.t.  $\{v, x\} \in E$  do  
11:        if  $x.key > d(v, x)$  then  
12:             $S.decrease-key(h_x, d(v, x))$ ;  
13:             $x.key \leftarrow d(v, x)$ ;  
14:             $x.pred \leftarrow v$ ;
```

# Analysis of Dijkstra and Prim

Both algorithms require:

- ▶ 1 build() operation
- ▶  $|V|$  insert() operations
- ▶  $|V|$  delete-min() operations
- ▶  $|V|$  is-empty() operations
- ▶  $|E|$  decrease-key() operations

# Analysis of Dijkstra and Prim

Both algorithms require:

- ▶ 1 build() operation
- ▶  $|V|$  insert() operations
- ▶  $|V|$  delete-min() operations
- ▶  $|V|$  is-empty() operations
- ▶  $|E|$  decrease-key() operations

**How good a running time can we obtain?**

## 6 Priority Queues

<i>Operation</i>	<i>Binary Heap</i>	<i>BST</i>	<i>Binomial Heap</i>	<i>Fibonacci Heap*</i>
build	$n$	$n \log n$	$n \log n$	$n$
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	$n$	$n \log n$	$\log n$	1



## 6 Priority Queues

<i>Operation</i>	<i>Binary Heap</i>	<i>BST</i>	<i>Binomial Heap</i>	<i>Fibonacci Heap*</i>
build	$n$	$n \log n$	$n \log n$	$n$
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	$n$	$n \log n$	$\log n$	1

Note that most applications use **build()** only to create an empty heap which then costs time 1.

## 6 Priority Queues

<i>Operation</i>	<i>Binary Heap</i>	<i>BST</i>	<i>Binomial Heap</i>	<i>Fibonacci Heap*</i>
build	$n$	$n \log n$	$n \log n$	$n$
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	$n$	$n \log n$	$\log n$	1

Note that most applications use **build()** only to create an empty heap which then costs time 1.

The standard version of binary heaps is not addressable, and hence does not support a delete operation.

## 6 Priority Queues

<i>Operation</i>	<i>Binary Heap</i>	<i>BST</i>	<i>Binomial Heap</i>	<i>Fibonacci Heap*</i>
build	$n$	$n \log n$	$n \log n$	$n$
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	$n$	$n \log n$	$\log n$	1

Note that most applications use **build()** only to create an empty heap which then costs time 1.

The standard version of binary heaps is not addressable, and hence does not support a delete operation.

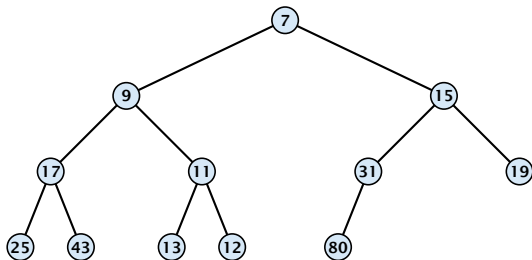
Fibonacci heaps only give an **amortized** guarantee.

## 6 Priority Queues

Using Binary Heaps, Prim and Dijkstra run in time  $\mathcal{O}((|V| + |E|) \log |V|)$ .

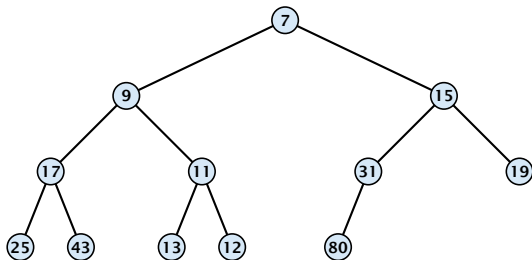
Using Fibonacci Heaps, Prim and Dijkstra run in time  $\mathcal{O}(|V| \log |V| + |E|)$ .

## 6.1 Binary Heaps



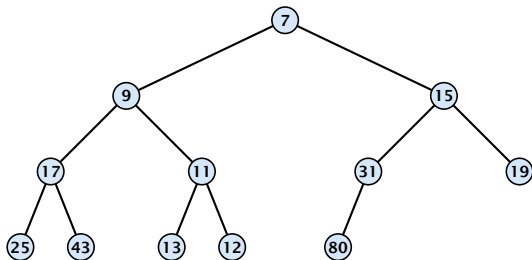
## 6.1 Binary Heaps

- ▶ Nearly complete binary tree; only the last level is not full, and this one is filled from left to right.



## 6.1 Binary Heaps

- ▶ Nearly complete binary tree; only the last level is not full, and this one is filled from left to right.
- ▶ **Heap property:** A node's key is not larger than the key of one of its children.



**Operations:**



# Binary Heaps

## Operations:

- ▶ **minimum()**: return the root-element. Time  $\mathcal{O}(1)$ .

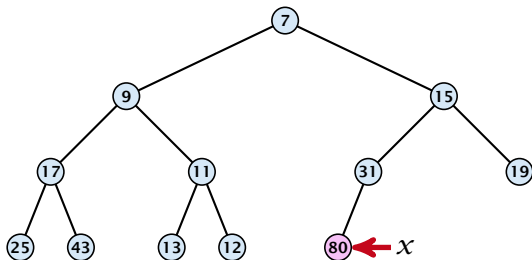
# Binary Heaps

## Operations:

- ▶ **minimum()**: return the root-element. Time  $\mathcal{O}(1)$ .
- ▶ **is-empty()**: check whether root-pointer is **null**. Time  $\mathcal{O}(1)$ .

## 6.1 Binary Heaps

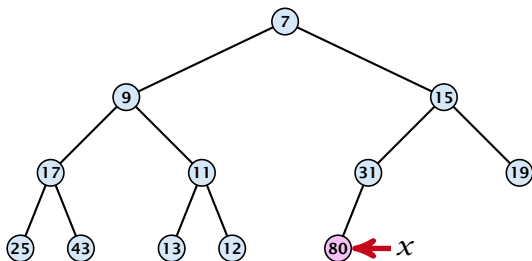
Maintain a pointer to the **last element**  $x$ .



## 6.1 Binary Heaps

Maintain a pointer to the **last element**  $x$ .

- ▶ We can compute the predecessor of  $x$  (last element when  $x$  is deleted) in time  $\mathcal{O}(\log n)$ .



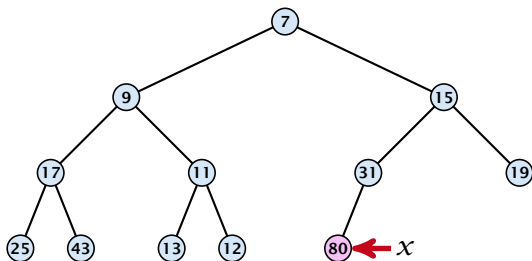
## 6.1 Binary Heaps

Maintain a pointer to the **last element**  $x$ .

- ▶ We can compute the predecessor of  $x$  (last element when  $x$  is deleted) in time  $\mathcal{O}(\log n)$ .

go up until the last edge used was a right edge.

go left; go right until you reach a leaf



## 6.1 Binary Heaps

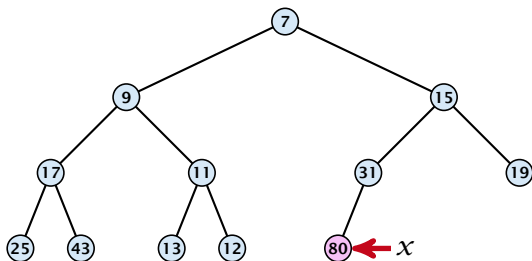
Maintain a pointer to the **last element**  $x$ .

- ▶ We can compute the predecessor of  $x$  (last element when  $x$  is deleted) in time  $\mathcal{O}(\log n)$ .

go up until the last edge used was a right edge.

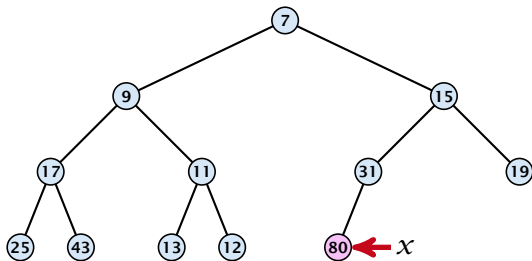
go left; go right until you reach a leaf

if you hit the root on the way up, go to the rightmost element



## 6.1 Binary Heaps

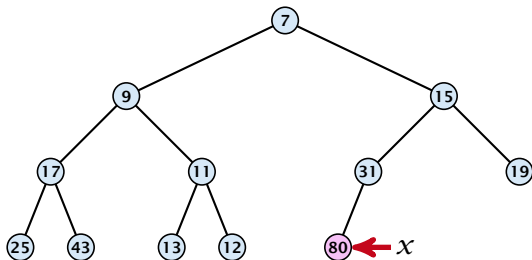
Maintain a pointer to the **last element**  $x$ .



## 6.1 Binary Heaps

Maintain a pointer to the **last element**  $x$ .

- ▶ We can compute the successor of  $x$  (last element when an element is inserted) in time  $\mathcal{O}(\log n)$ .





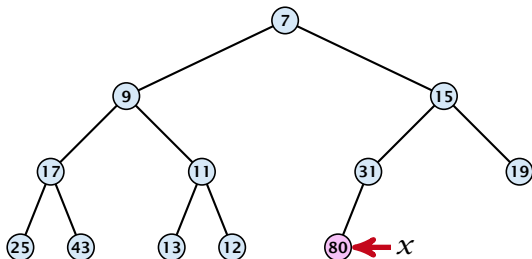
## 6.1 Binary Heaps

Maintain a pointer to the **last element**  $x$ .

- ▶ We can compute the successor of  $x$  (last element when an element is inserted) in time  $\mathcal{O}(\log n)$ .

go up until the last edge used was a left edge.

go right; go left until you reach a **null**-pointer.



## 6.1 Binary Heaps

Maintain a pointer to the **last element**  $x$ .

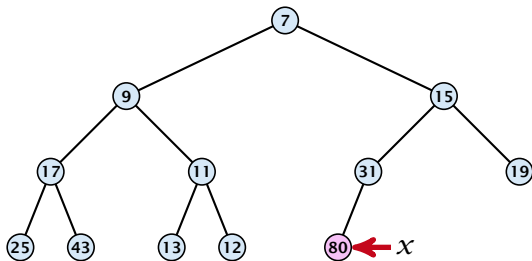
- ▶ We can compute the successor of  $x$  (last element when an element is inserted) in time  $\mathcal{O}(\log n)$ .

go up until the last edge used was a left edge.

go right; go left until you reach a **null**-pointer.

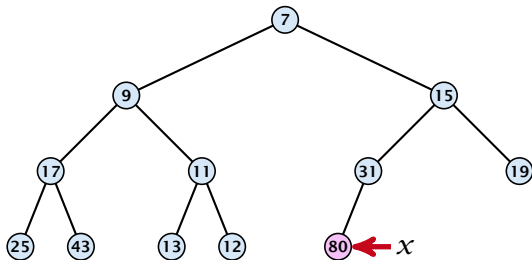
if you hit the root on the way up, go to the leftmost element;

insert a new element as a left child;



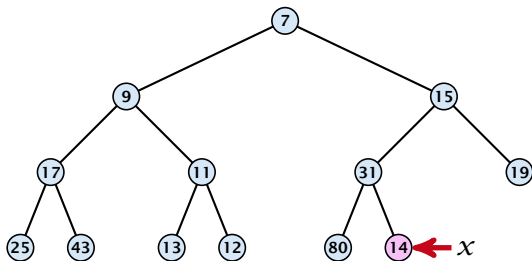
# Insert

1. Insert element at successor of  $x$ .



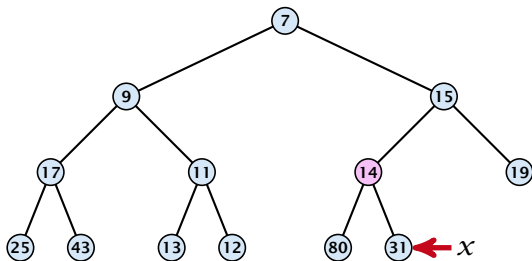
# Insert

1. Insert element at successor of  $x$ .
2. Exchange with parent until heap property is fulfilled.



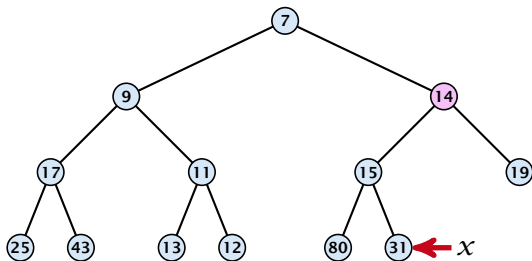
# Insert

1. Insert element at successor of  $x$ .
2. Exchange with parent until heap property is fulfilled.



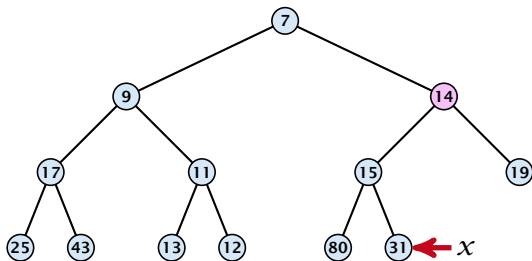
# Insert

1. Insert element at successor of  $x$ .
2. Exchange with parent until heap property is fulfilled.



# Insert

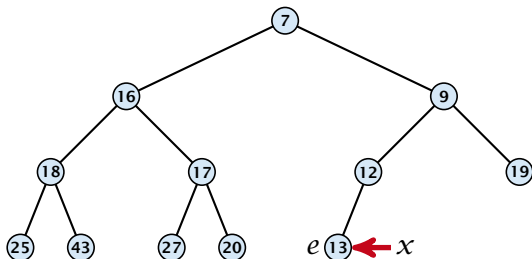
1. Insert element at successor of  $x$ .
2. Exchange with parent until heap property is fulfilled.



Note that an exchange can either be done by moving the data or by changing pointers. The latter method leads to an addressable priority queue.

# Delete

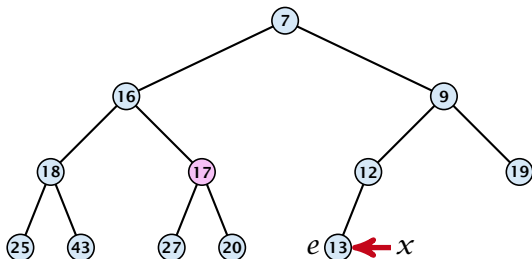
1. Exchange the element to be deleted with the element  $e$  pointed to by  $x$ .





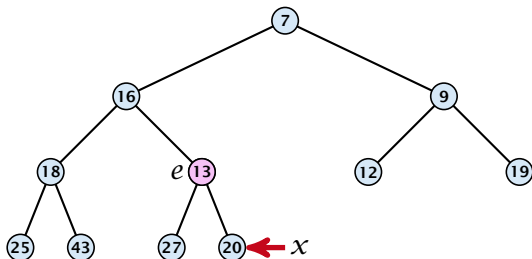
# Delete

1. Exchange the element to be deleted with the element  $e$  pointed to by  $x$ .
2. Restore the heap-property for the element  $e$ .



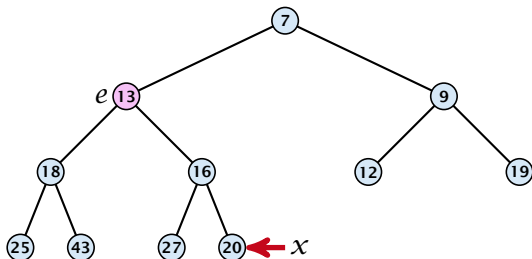
# Delete

1. Exchange the element to be deleted with the element  $e$  pointed to by  $x$ .
2. Restore the heap-property for the element  $e$ .



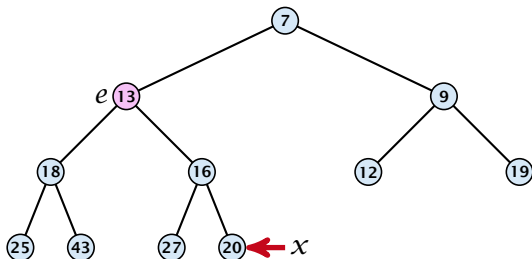
# Delete

1. Exchange the element to be deleted with the element  $e$  pointed to by  $x$ .
2. Restore the heap-property for the element  $e$ .



# Delete

1. Exchange the element to be deleted with the element  $e$  pointed to by  $x$ .
2. Restore the heap-property for the element  $e$ .



At its new position  $e$  may either travel up or down in the tree (but not both directions).

# Binary Heaps

## Operations:

- ▶ **minimum()**: return the root-element. Time  $\mathcal{O}(1)$ .
- ▶ **is-empty()**: check whether root-pointer is **null**. Time  $\mathcal{O}(1)$ .
- ▶ **insert( $k$ )**: insert at successor of  $x$  and bubble up. Time  $\mathcal{O}(\log n)$ .
- ▶ **delete( $h$ )**: swap with  $x$  and bubble up or sift-down. Time  $\mathcal{O}(\log n)$ .

# Binary Heaps

## Operations:

- ▶ **minimum()**: Return the root-element. Time  $\mathcal{O}(1)$ .
- ▶ **is-empty()**: Check whether root-pointer is **null**. Time  $\mathcal{O}(1)$ .
- ▶ **insert( $k$ )**: Insert at  $x$  and bubble up. Time  $\mathcal{O}(\log n)$ .
- ▶ **delete( $h$ )**: Swap with  $x$  and bubble up or sift-down. Time  $\mathcal{O}(\log n)$ .
- ▶ **build( $x_1, \dots, x_n$ )**: Insert elements arbitrarily; then do sift-down operations starting with the lowest layer in the tree. Time  $\mathcal{O}(n)$ .

# Binary Heaps

# Binary Heaps

The standard implementation of binary heaps is via arrays. Let  $A[0, \dots, n - 1]$  be an array

- ▶ The parent of  $i$ -th element is at position  $\lfloor \frac{i-1}{2} \rfloor$ .
- ▶ The left child of  $i$ -th element is at position  $2i + 1$ .
- ▶ The right child of  $i$ -th element is at position  $2i + 2$ .



# Binary Heaps

The standard implementation of binary heaps is via arrays. Let  $A[0, \dots, n - 1]$  be an array

- ▶ The parent of  $i$ -th element is at position  $\lfloor \frac{i-1}{2} \rfloor$ .
- ▶ The left child of  $i$ -th element is at position  $2i + 1$ .
- ▶ The right child of  $i$ -th element is at position  $2i + 2$ .

Finding the successor of  $x$  is much easier than in the description on the previous slide. Simply increase or decrease  $x$ .

# Binary Heaps

The standard implementation of binary heaps is via arrays. Let  $A[0, \dots, n - 1]$  be an array

- ▶ The parent of  $i$ -th element is at position  $\lfloor \frac{i-1}{2} \rfloor$ .
- ▶ The left child of  $i$ -th element is at position  $2i + 1$ .
- ▶ The right child of  $i$ -th element is at position  $2i + 2$ .

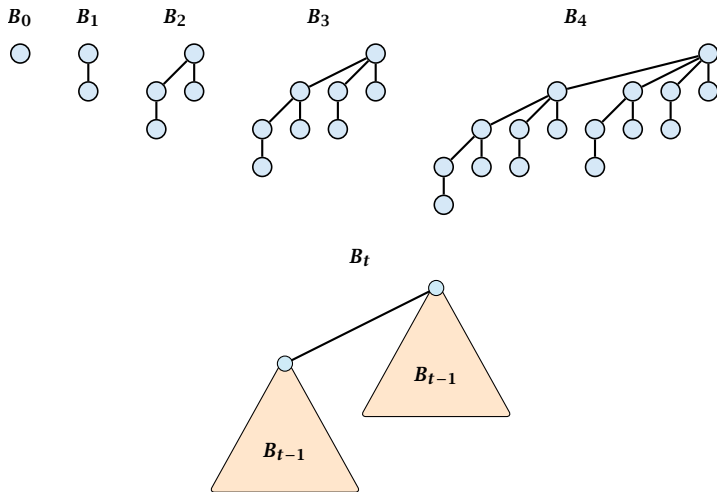
Finding the successor of  $x$  is much easier than in the description on the previous slide. Simply increase or decrease  $x$ .

The resulting binary heap is not addressable. The elements don't maintain their positions and therefore there are no stable handles.

## 6.2 Binomial Heaps

<i>Operation</i>	<i>Binary Heap</i>	<i>BST</i>	<i>Binomial Heap</i>	<i>Fibonacci Heap*</i>
build	$n$	$n \log n$	$n \log n$	$n$
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	$n$	$n \log n$	<b><math>\log n</math></b>	1

# Binomial Trees



## Properties of Binomial Trees

- ▶  $B_k$  has  $2^k$  nodes.

## Properties of Binomial Trees

- ▶  $B_k$  has  $2^k$  nodes.
- ▶  $B_k$  has height  $k$ .

# Binomial Trees

## Properties of Binomial Trees

- ▶  $B_k$  has  $2^k$  nodes.
- ▶  $B_k$  has height  $k$ .
- ▶ The root of  $B_k$  has degree  $k$ .

## Properties of Binomial Trees

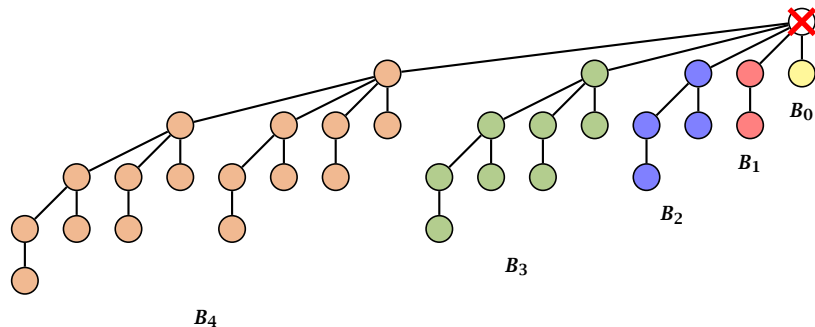
- ▶  $B_k$  has  $2^k$  nodes.
- ▶  $B_k$  has height  $k$ .
- ▶ The root of  $B_k$  has degree  $k$ .
- ▶  $B_k$  has  $\binom{k}{\ell}$  nodes on level  $\ell$ .



## Properties of Binomial Trees

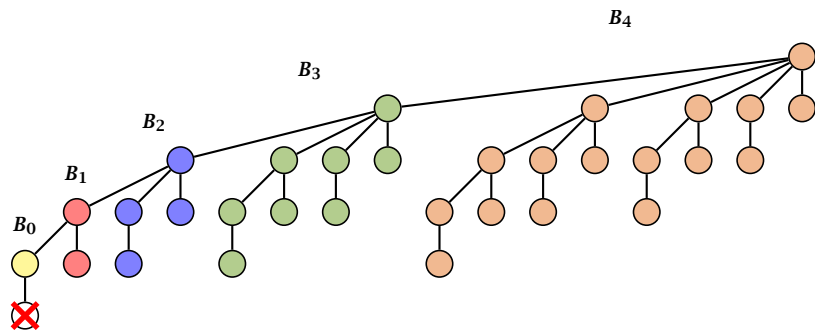
- ▶  $B_k$  has  $2^k$  nodes.
- ▶  $B_k$  has height  $k$ .
- ▶ The root of  $B_k$  has degree  $k$ .
- ▶  $B_k$  has  $\binom{k}{\ell}$  nodes on level  $\ell$ .
- ▶ Deleting the root of  $B_k$  gives trees  $B_0, B_1, \dots, B_{k-1}$ .

# Binomial Trees



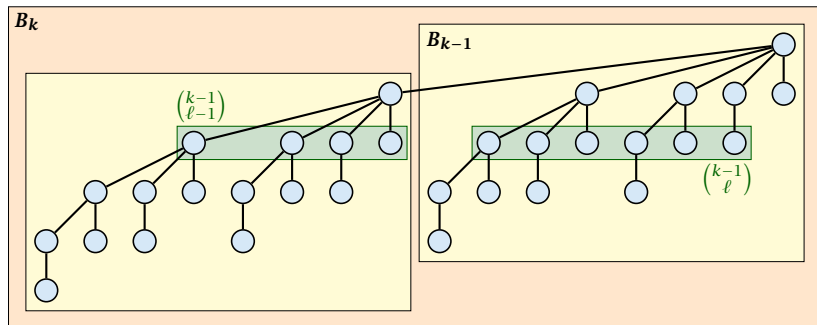
Deleting the root of  $B_5$  leaves sub-trees  $B_4$ ,  $B_3$ ,  $B_2$ ,  $B_1$ , and  $B_0$ .

# Binomial Trees



Deleting the leaf furthest from the root (in  $B_5$ ) leaves a path that connects the roots of sub-trees  $B_4$ ,  $B_3$ ,  $B_2$ ,  $B_1$ , and  $B_0$ .

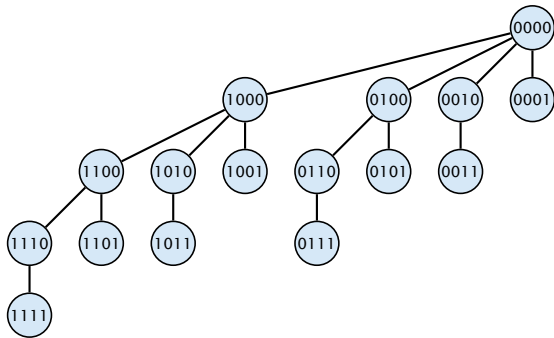
# Binomial Trees



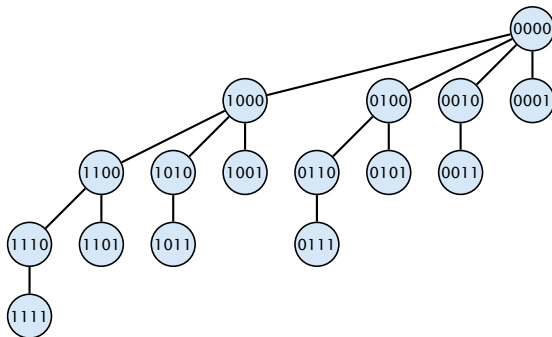
The number of nodes on level  $\ell$  in tree  $B_k$  is therefore

$$\binom{k-1}{\ell-1} + \binom{k-1}{\ell} = \binom{k}{\ell}$$

# Binomial Trees

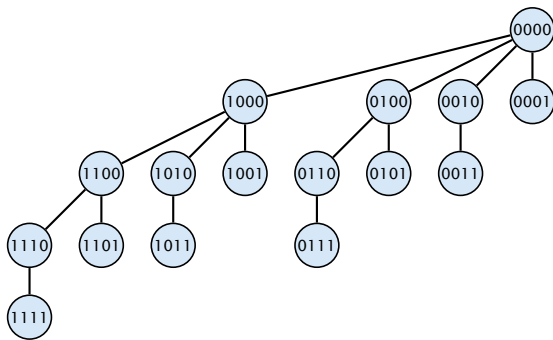


# Binomial Trees



The binomial tree  $B_k$  is a sub-graph of the hypercube  $H_k$ .

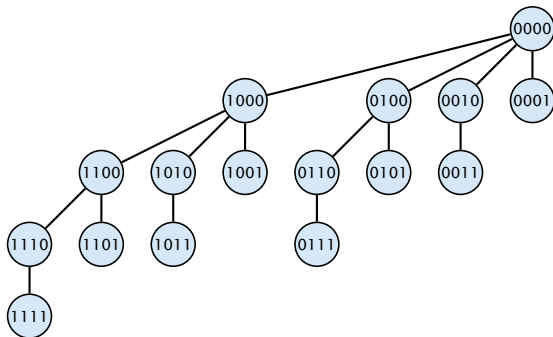
# Binomial Trees



The binomial tree  $B_k$  is a sub-graph of the hypercube  $H_k$ .

The parent of a node with label  $b_k, \dots, b_1$  is obtained by setting the least significant 1-bit to 0.

# Binomial Trees



The binomial tree  $B_k$  is a sub-graph of the hypercube  $H_k$ .

The parent of a node with label  $b_k, \dots, b_1$  is obtained by setting the least significant 1-bit to 0.

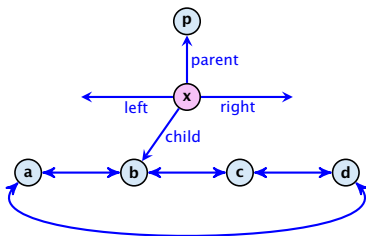
The  $\ell$ -th level contains nodes that have  $\ell$  1's in their label.



## 6.2 Binomial Heaps

How do we implement trees with non-constant degree?

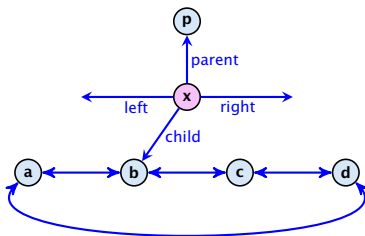
- ▶ The children of a node are arranged in a **circular linked list**.



## 6.2 Binomial Heaps

How do we implement trees with non-constant degree?

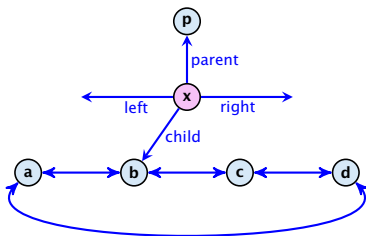
- ▶ The children of a node are arranged in a **circular linked list**.
- ▶ A child-pointer points to an arbitrary node within the list.



## 6.2 Binomial Heaps

How do we implement trees with non-constant degree?

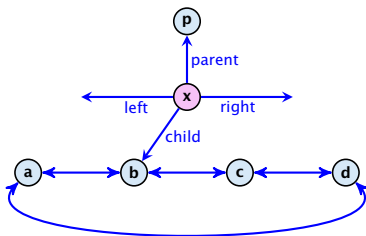
- ▶ The children of a node are arranged in a **circular linked list**.
- ▶ A child-pointer points to an arbitrary node within the list.
- ▶ A parent-pointer points to the parent node.



## 6.2 Binomial Heaps

### How do we implement trees with non-constant degree?

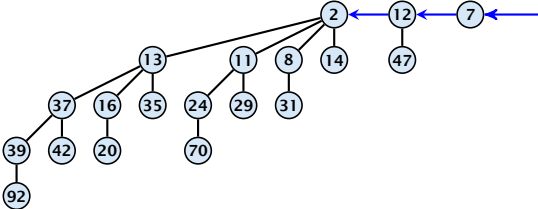
- ▶ The children of a node are arranged in a **circular linked list**.
- ▶ A child-pointer points to an arbitrary node within the list.
- ▶ A parent-pointer points to the parent node.
- ▶ Pointers  $x.left$  and  $x.right$  point to the left and right sibling of  $x$  (if  $x$  does not have siblings then  $x.left = x.right = x$ ).



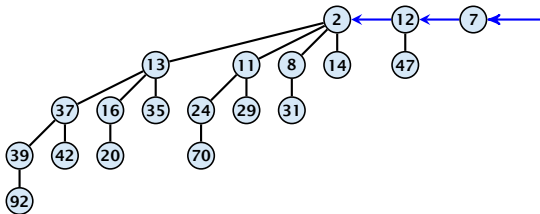
## 6.2 Binomial Heaps

- ▶ Given a pointer to a node  $x$  we can splice out the sub-tree rooted at  $x$  in constant time.
- ▶ We can add a child-tree  $T$  to a node  $x$  in constant time if we are given a pointer to  $x$  and a pointer to the root of  $T$ .

# Binomial Heap

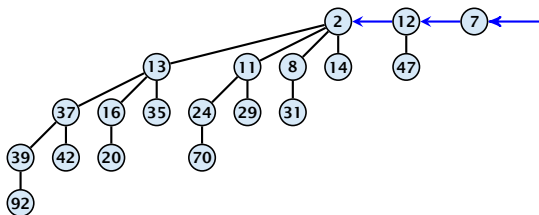


# Binomial Heap



In a binomial heap the keys are arranged in a collection of binomial trees.

# Binomial Heap

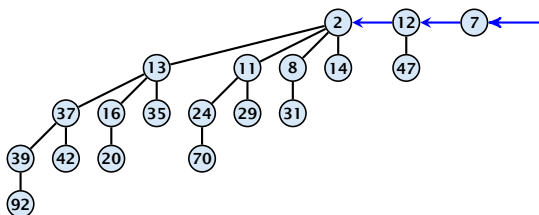


In a binomial heap the keys are arranged in a collection of binomial trees.

Every tree fulfills the heap-property



# Binomial Heap



In a binomial heap the keys are arranged in a collection of binomial trees.

Every tree fulfills the heap-property

There is at most one tree for every dimension/order. For example the above heap contains trees  $B_0$ ,  $B_1$ , and  $B_4$ .

# Binomial Heap: Merge

## Binomial Heap: Merge

Given the number  $n$  of keys to be stored in a binomial heap we can deduce the binomial trees that will be contained in the collection.

## Binomial Heap: Merge

Given the number  $n$  of keys to be stored in a binomial heap we can deduce the binomial trees that will be contained in the collection.

Let  $B_{k_1}, B_{k_2}, B_{k_3}, k_i < k_{i+1}$  denote the binomial trees in the collection and recall that every tree may be contained at most once.

## Binomial Heap: Merge

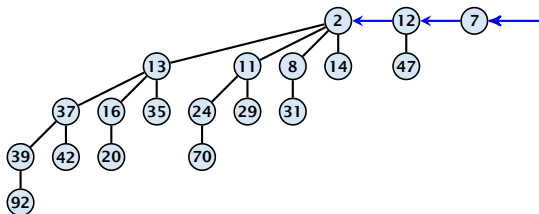
Given the number  $n$  of keys to be stored in a binomial heap we can deduce the binomial trees that will be contained in the collection.

Let  $B_{k_1}, B_{k_2}, B_{k_3}, k_i < k_{i+1}$  denote the binomial trees in the collection and recall that every tree may be contained at most once.

Then  $n = \sum_i 2^{k_i}$  must hold. But since the  $k_i$  are all distinct this means that the  $k_i$  define the non-zero bit-positions in the binary representation of  $n$ .

# Binomial Heap

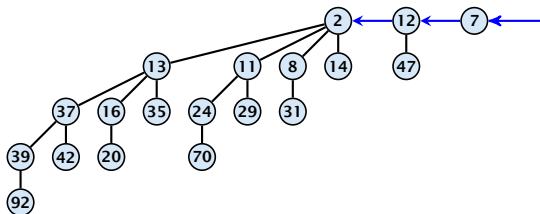
Properties of a heap with  $n$  keys:



# Binomial Heap

Properties of a heap with  $n$  keys:

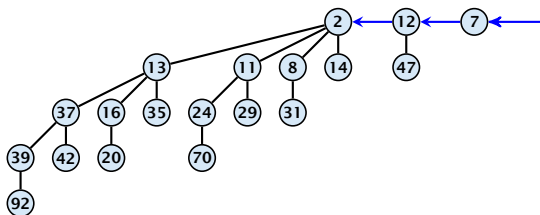
- ▶ Let  $n = b_d b_{d-1}, \dots, b_0$  denote binary representation of  $n$ .



# Binomial Heap

Properties of a heap with  $n$  keys:

- ▶ Let  $n = b_d b_{d-1}, \dots, b_0$  denote binary representation of  $n$ .
- ▶ The heap contains tree  $B_i$  iff  $b_i = 1$ .

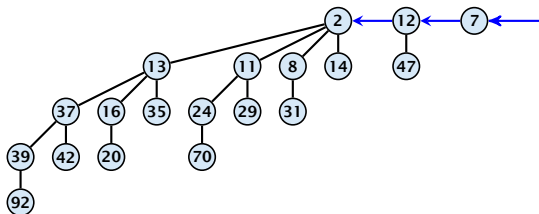




# Binomial Heap

Properties of a heap with  $n$  keys:

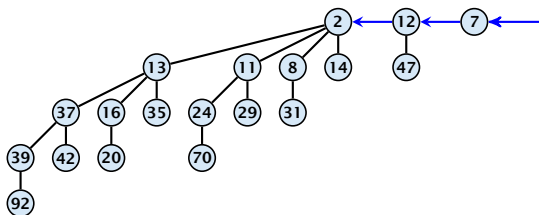
- ▶ Let  $n = b_d b_{d-1}, \dots, b_0$  denote binary representation of  $n$ .
- ▶ The heap contains tree  $B_i$  iff  $b_i = 1$ .
- ▶ Hence, at most  $\lfloor \log n \rfloor + 1$  trees.



# Binomial Heap

## Properties of a heap with $n$ keys:

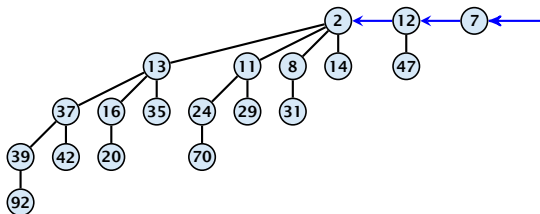
- ▶ Let  $n = b_d b_{d-1}, \dots, b_0$  denote binary representation of  $n$ .
- ▶ The heap contains tree  $B_i$  iff  $b_i = 1$ .
- ▶ Hence, at most  $\lfloor \log n \rfloor + 1$  trees.
- ▶ The minimum must be contained in one of the roots.



# Binomial Heap

## Properties of a heap with $n$ keys:

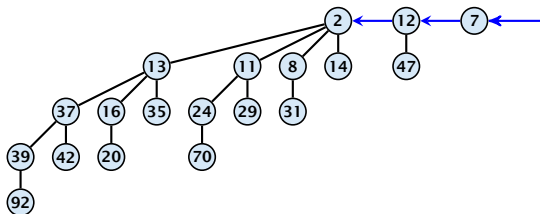
- ▶ Let  $n = b_d b_{d-1}, \dots, b_0$  denote binary representation of  $n$ .
- ▶ The heap contains tree  $B_i$  iff  $b_i = 1$ .
- ▶ Hence, at most  $\lfloor \log n \rfloor + 1$  trees.
- ▶ The minimum must be contained in one of the roots.
- ▶ The height of the largest tree is at most  $\lfloor \log n \rfloor$ .



# Binomial Heap

## Properties of a heap with $n$ keys:

- ▶ Let  $n = b_d b_{d-1} \dots b_0$  denote binary representation of  $n$ .
- ▶ The heap contains tree  $B_i$  iff  $b_i = 1$ .
- ▶ Hence, at most  $\lfloor \log n \rfloor + 1$  trees.
- ▶ The minimum must be contained in one of the roots.
- ▶ The height of the largest tree is at most  $\lfloor \log n \rfloor$ .
- ▶ The trees are stored in a single-linked list; ordered by dimension/size.



# Binomial Heap: Merge

The merge-operation is instrumental for binomial heaps.

# Binomial Heap: Merge

The merge-operation is instrumental for binomial heaps.

A merge is easy if we have two heaps with different binomial trees.  
We can simply merge the tree-lists.

## Binomial Heap: Merge

The merge-operation is instrumental for binomial heaps.

A merge is easy if we have two heaps with different binomial trees. We can simply merge the tree-lists.

Otherwise, we cannot do this because the merged heap is not allowed to contain two trees of the same order.

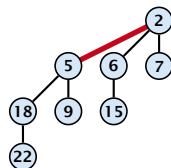
# Binomial Heap: Merge

The merge-operation is instrumental for binomial heaps.

A merge is easy if we have two heaps with different binomial trees. We can simply merge the tree-lists.

Otherwise, we cannot do this because the merged heap is not allowed to contain two trees of the same order.

Merging two trees of the same size: Add the tree with larger root-value as a child to the other tree.





# Binomial Heap: Merge

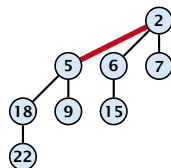
The merge-operation is instrumental for binomial heaps.

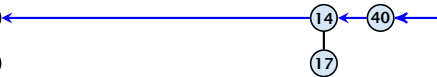
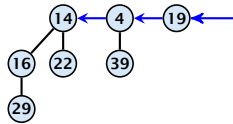
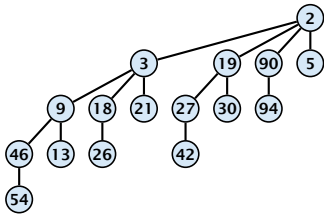
A merge is easy if we have two heaps with different binomial trees. We can simply merge the tree-lists.

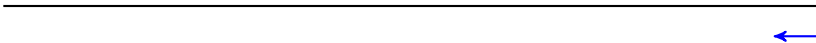
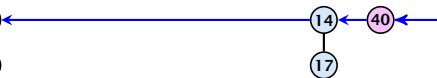
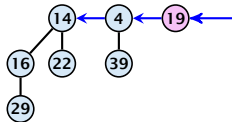
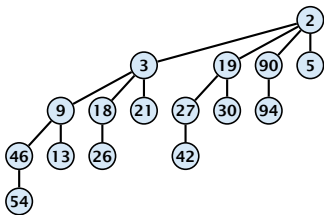
Otherwise, we cannot do this because the merged heap is not allowed to contain two trees of the same order.

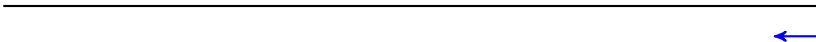
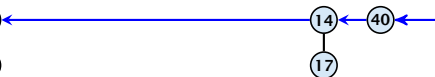
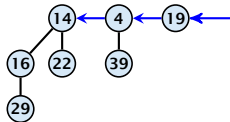
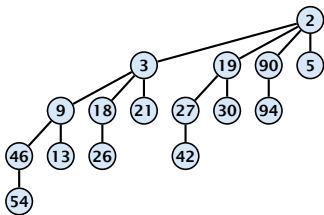
Merging two trees of the same size: Add the tree with larger root-value as a child to the other tree.

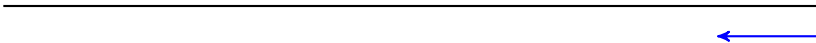
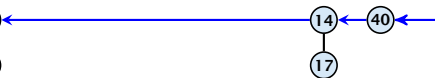
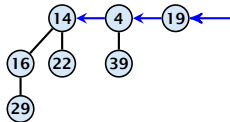
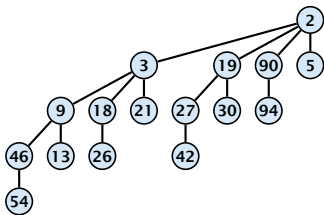
For more trees the technique is analogous to binary addition.

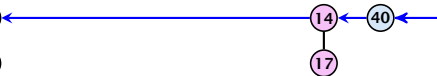
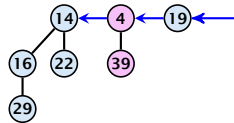
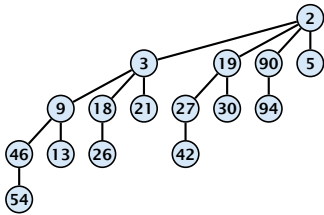


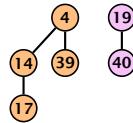
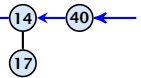
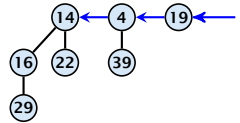
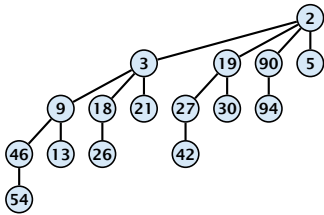


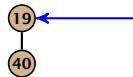
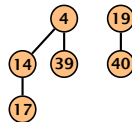
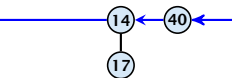
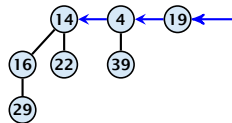
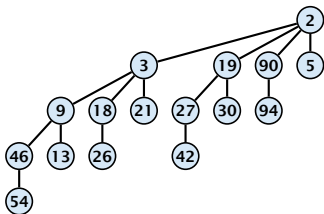




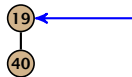
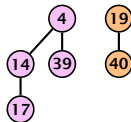
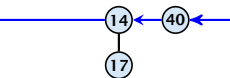
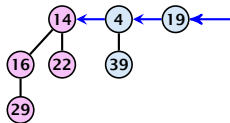
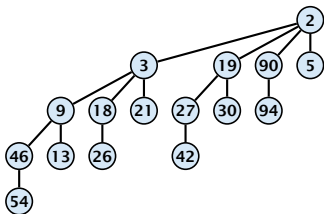


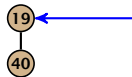
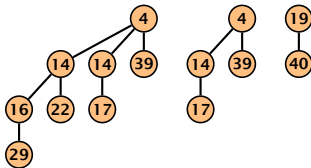
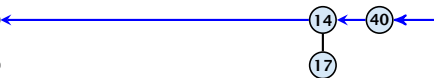
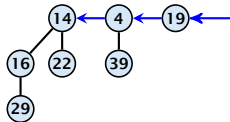
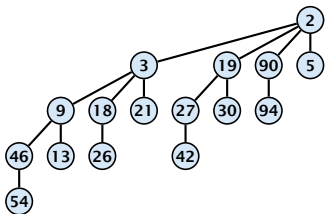


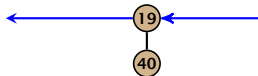
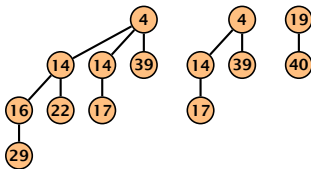
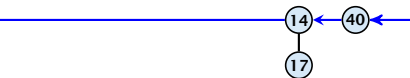
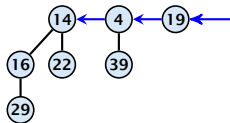
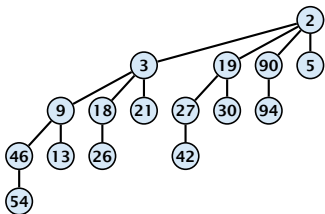


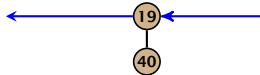
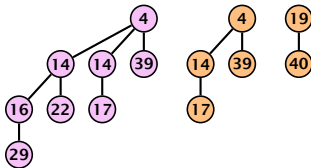
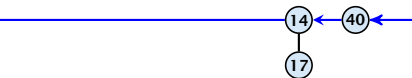
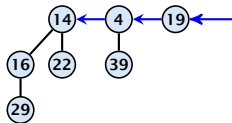
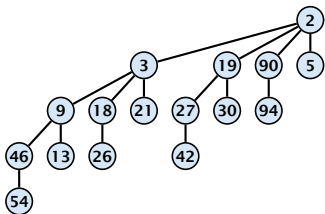


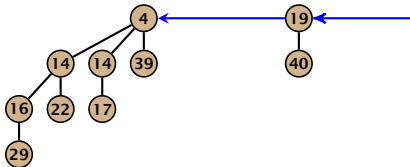
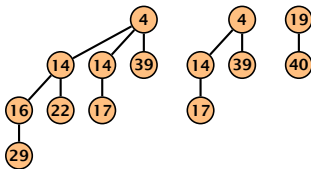
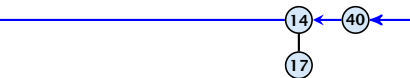
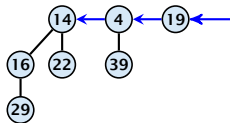
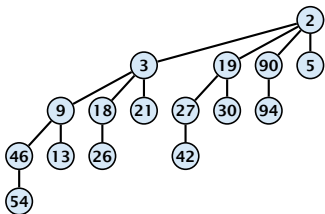




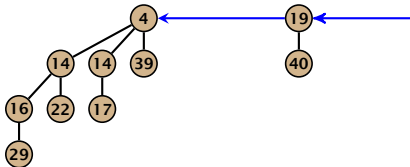
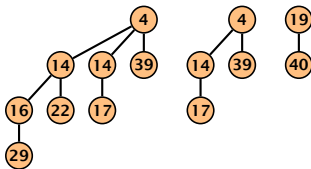
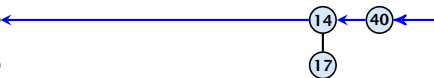
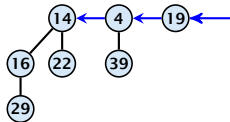
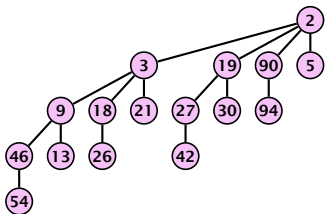




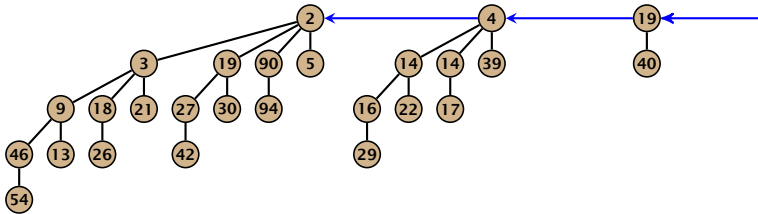
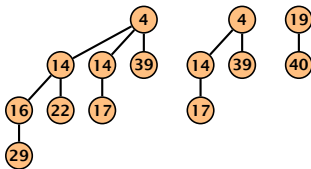
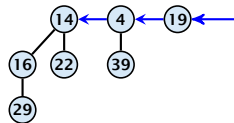
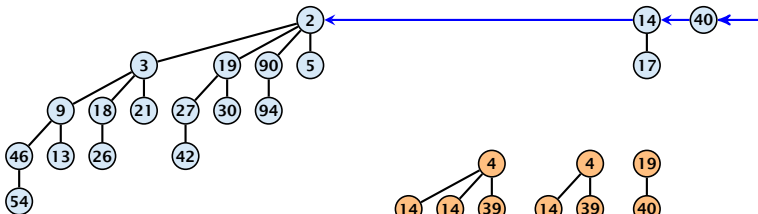




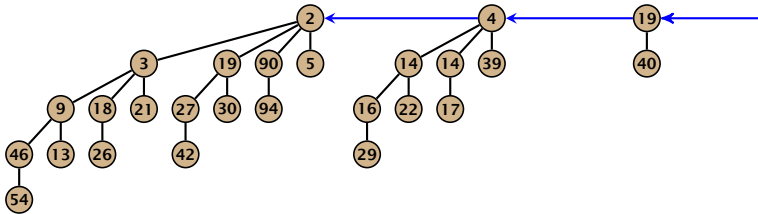
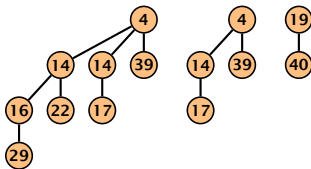
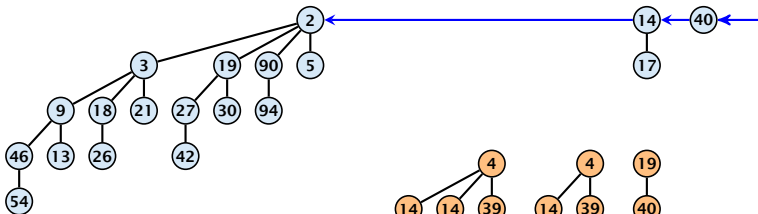
+



+



+





## 6.2 Binomial Heaps

$S_1$ . merge( $S_2$ ):

- ▶ Analogous to binary addition.

## 6.2 Binomial Heaps

$S_1$ . merge( $S_2$ ):

- ▶ Analogous to binary addition.
- ▶ Time is proportional to the number of trees in both heaps.

## 6.2 Binomial Heaps

$S_1$ . merge( $S_2$ ):

- ▶ Analogous to binary addition.
- ▶ Time is proportional to the number of trees in both heaps.
- ▶ Time:  $\mathcal{O}(\log n)$ .

## 6.2 Binomial Heaps

All other operations can be reduced to `merge()`.

**S.insert( $x$ ):**

- ▶ Create a new heap  $S'$  that contains just the element  $x$ .

## 6.2 Binomial Heaps

All other operations can be reduced to `merge()`.

**`S.insert(x)`:**

- ▶ Create a new heap  $S'$  that contains just the element  $x$ .
- ▶ Execute `S.merge(S')`.

## 6.2 Binomial Heaps

All other operations can be reduced to `merge()`.

**`S.insert(x)`:**

- ▶ Create a new heap  $S'$  that contains just the element  $x$ .
- ▶ Execute  $S.merge(S')$ .
- ▶ Time:  $\mathcal{O}(\log n)$ .

## 6.2 Binomial Heaps

### **S. minimum():**

- ▶ Find the minimum key-value among all roots.
- ▶ Time:  $\mathcal{O}(\log n)$ .

## 6.2 Binomial Heaps

**S. delete-min():**



## 6.2 Binomial Heaps

**S. delete-min():**

- ▶ Find the minimum key-value among all roots.

## 6.2 Binomial Heaps

### S. delete-min():

- ▶ Find the minimum key-value among all roots.
- ▶ Remove the corresponding tree  $T_{\min}$  from the heap.

## 6.2 Binomial Heaps

### S. delete-min():

- ▶ Find the minimum key-value among all roots.
- ▶ Remove the corresponding tree  $T_{\min}$  from the heap.
- ▶ Create a new heap  $S'$  that contains the trees obtained from  $T_{\min}$  after deleting the root (note that these are just  $\mathcal{O}(\log n)$  trees).

## 6.2 Binomial Heaps

### **S.delete-min():**

- ▶ Find the minimum key-value among all roots.
- ▶ Remove the corresponding tree  $T_{\min}$  from the heap.
- ▶ Create a new heap  $S'$  that contains the trees obtained from  $T_{\min}$  after deleting the root (note that these are just  $\mathcal{O}(\log n)$  trees).
- ▶ Compute  $S.merge(S')$ .

## 6.2 Binomial Heaps

### **S. delete-min():**

- ▶ Find the minimum key-value among all roots.
- ▶ Remove the corresponding tree  $T_{\min}$  from the heap.
- ▶ Create a new heap  $S'$  that contains the trees obtained from  $T_{\min}$  after deleting the root (note that these are just  $\mathcal{O}(\log n)$  trees).
- ▶ Compute  $S.\text{merge}(S')$ .
- ▶ Time:  $\mathcal{O}(\log n)$ .

## 6.2 Binomial Heaps

***S.* decrease-key(handle *h*):**

## 6.2 Binomial Heaps

**S. decrease-key(handle  $h$ ):**

- ▶ Decrease the key of the element pointed to by  $h$ .

## 6.2 Binomial Heaps

### **S. decrease-key(handle $h$ ):**

- ▶ Decrease the key of the element pointed to by  $h$ .
- ▶ Bubble the element up in the tree until the heap property is fulfilled.



## 6.2 Binomial Heaps

### S. decrease-key(handle $h$ ):

- ▶ Decrease the key of the element pointed to by  $h$ .
- ▶ Bubble the element up in the tree until the heap property is fulfilled.
- ▶ Time:  $\mathcal{O}(\log n)$  since the trees have height  $\mathcal{O}(\log n)$ .

## 6.2 Binomial Heaps

***S.* delete(handle *h*):**

## 6.2 Binomial Heaps

**$S$ . delete(handle  $h$ ):**

- ▶ Execute  $S$ . decrease-key( $h, -\infty$ ).

## 6.2 Binomial Heaps

**$S$ . delete(handle  $h$ ):**

- ▶ Execute  $S$ . decrease-key( $h, -\infty$ ).
- ▶ Execute  $S$ . delete-min().

## 6.2 Binomial Heaps

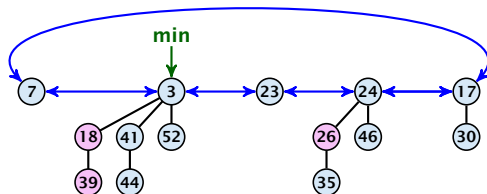
**$S$ . delete(handle  $h$ ):**

- ▶ Execute  $S$ . decrease-key( $h, -\infty$ ).
- ▶ Execute  $S$ . delete-min().
- ▶ Time:  $\mathcal{O}(\log n)$ .

## 6.3 Fibonacci Heaps

Collection of trees that fulfill the heap property.

Structure is much more relaxed than binomial heaps.



## 6.3 Fibonacci Heaps

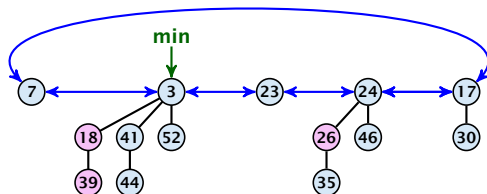
### Additional implementation details:

- ▶ Every node  $x$  stores its degree in a field  $x.degree$ . Note that this can be updated in constant time when adding a child to  $x$ .
- ▶ Every node stores a boolean value  $x.marked$  that specifies whether  $x$  is **marked** or not.

## 6.3 Fibonacci Heaps

### The potential function:

- ▶  $t(S)$  denotes the number of trees in the heap.
- ▶  $m(S)$  denotes the number of marked nodes.
- ▶ We use the potential function  $\Phi(S) = t(S) + 2m(S)$ .



The potential is  $\Phi(S) = 5 + 2 \cdot 3 = 11$ .



## 6.3 Fibonacci Heaps

We assume that one unit of potential can pay for a constant amount of work, where the constant is chosen “big enough” (to take care of the constants that occur).

To make this more explicit we use  $c$  to denote the amount of work that a unit of potential can pay for.

## 6.3 Fibonacci Heaps

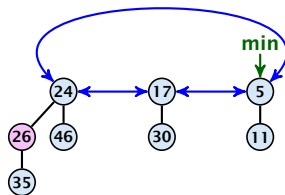
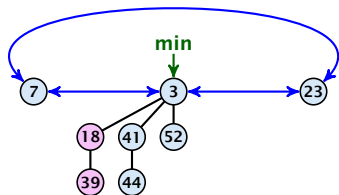
### S. minimum()

- ▶ Access through the min-pointer.
- ▶ Actual cost  $\mathcal{O}(1)$ .
- ▶ No change in potential.
- ▶ Amortized cost  $\mathcal{O}(1)$ .

## 6.3 Fibonacci Heaps

### $S$ . merge( $S'$ )

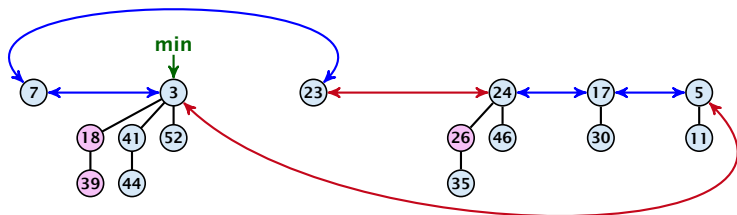
- ▶ Merge the root lists.
- ▶ Adjust the min-pointer



## 6.3 Fibonacci Heaps

### S. merge( $S'$ )

- ▶ Merge the root lists.
- ▶ Adjust the min-pointer



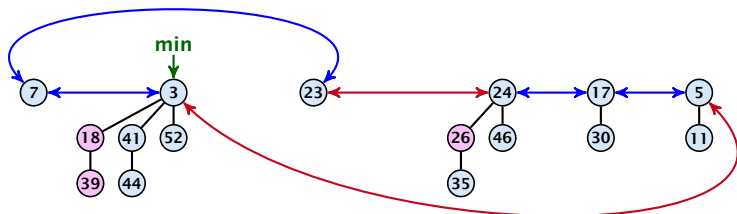
### Running time:

- ▶ Actual cost  $\mathcal{O}(1)$ .

## 6.3 Fibonacci Heaps

### S. merge( $S'$ )

- ▶ Merge the root lists.
- ▶ Adjust the min-pointer



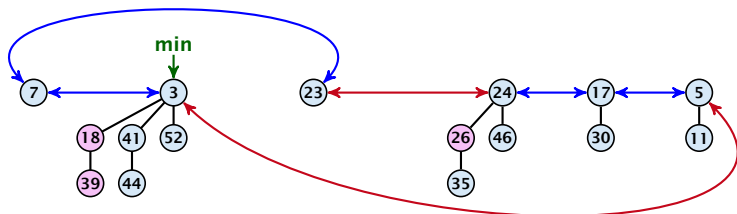
### Running time:

- ▶ Actual cost  $\mathcal{O}(1)$ .
- ▶ No change in potential.

## 6.3 Fibonacci Heaps

### S. merge( $S'$ )

- ▶ Merge the root lists.
- ▶ Adjust the min-pointer



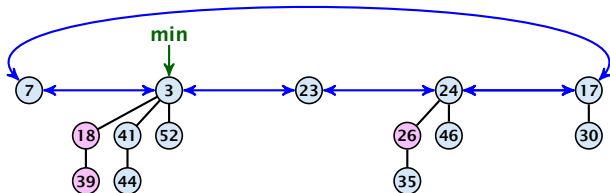
### Running time:

- ▶ Actual cost  $\mathcal{O}(1)$ .
- ▶ No change in potential.
- ▶ Hence, amortized cost is  $\mathcal{O}(1)$ .

## 6.3 Fibonacci Heaps

### S. insert( $x$ )

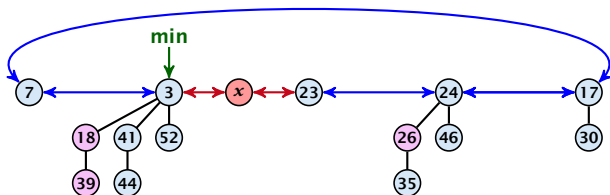
- ▶ Create a new tree containing  $x$ .
- ▶ Insert  $x$  into the root-list.
- ▶ Update min-pointer, if necessary.



## 6.3 Fibonacci Heaps

### S. insert( $x$ )

- ▶ Create a new tree containing  $x$ .
- ▶ Insert  $x$  into the root-list.
- ▶ Update min-pointer, if necessary.

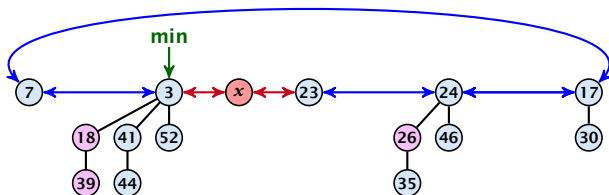




## 6.3 Fibonacci Heaps

### S. insert( $x$ )

- ▶ Create a new tree containing  $x$ .
- ▶ Insert  $x$  into the root-list.
- ▶ Update min-pointer, if necessary.

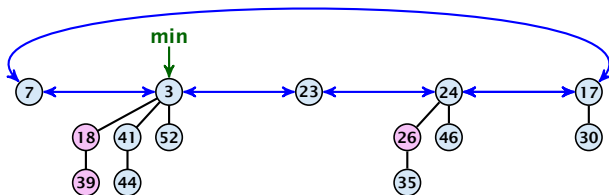


### Running time:

- ▶ Actual cost  $\mathcal{O}(1)$ .
- ▶ Change in potential is  $+1$ .
- ▶ Amortized cost is  $c + \mathcal{O}(1) = \mathcal{O}(1)$ .

## 6.3 Fibonacci Heaps

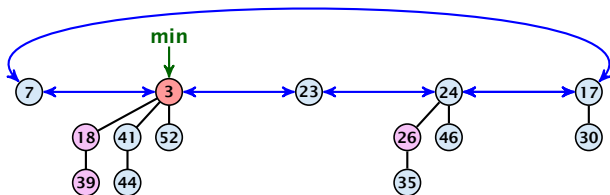
S. delete-min( $x$ )



## 6.3 Fibonacci Heaps

### S. delete-min( $x$ )

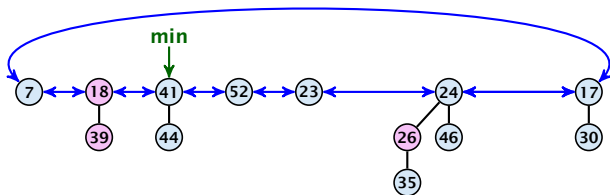
- ▶ Delete minimum; add child-trees to heap;  
time:  $D(\min) \cdot \mathcal{O}(1)$ .



## 6.3 Fibonacci Heaps

### S. delete-min( $x$ )

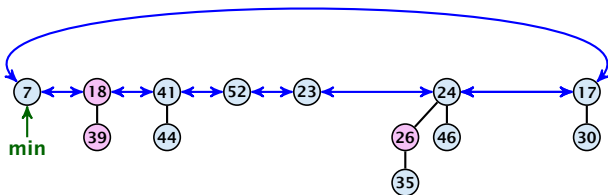
- ▶ Delete minimum; add child-trees to heap; time:  $D(\min) \cdot \mathcal{O}(1)$ .
- ▶ Update min-pointer; time:  $(t + D(\min)) \cdot \mathcal{O}(1)$ .



## 6.3 Fibonacci Heaps

### S. delete-min( $x$ )

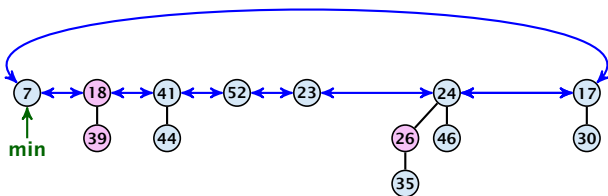
- ▶ Delete minimum; add child-trees to heap; time:  $D(\min) \cdot \mathcal{O}(1)$ .
- ▶ Update min-pointer; time:  $(t + D(\min)) \cdot \mathcal{O}(1)$ .



## 6.3 Fibonacci Heaps

### S. delete-min( $x$ )

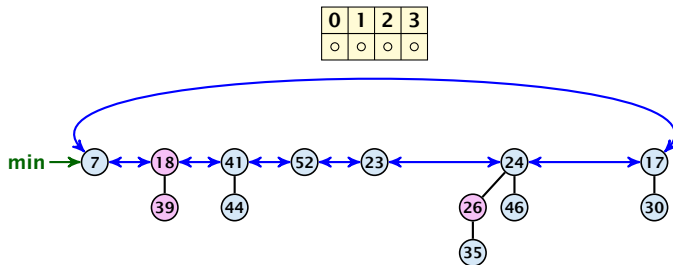
- ▶ Delete minimum; add child-trees to heap; time:  $D(\min) \cdot \mathcal{O}(1)$ .
- ▶ Update min-pointer; time:  $(t + D(\min)) \cdot \mathcal{O}(1)$ .



- ▶ Consolidate root-list so that no roots have the same degree. Time  $t \cdot \mathcal{O}(1)$  (see next slide).

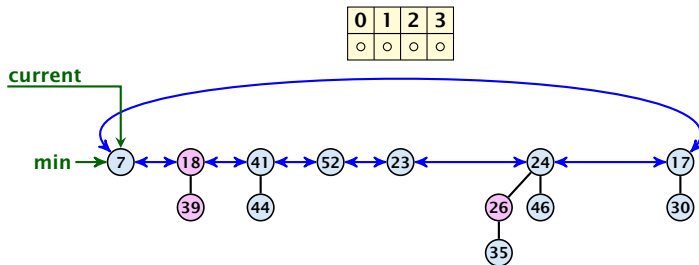
## 6.3 Fibonacci Heaps

Consolidate:



## 6.3 Fibonacci Heaps

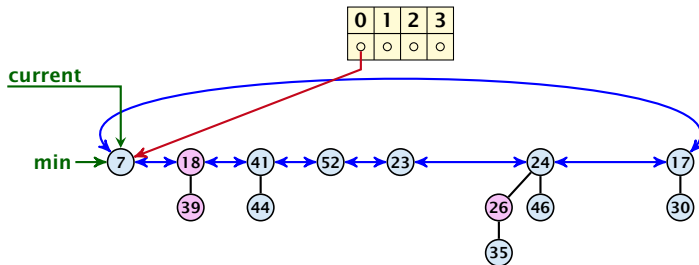
Consolidate:





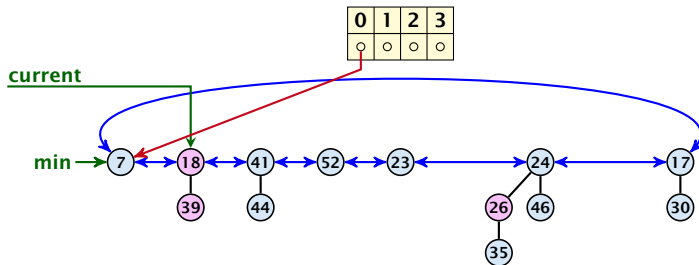
## 6.3 Fibonacci Heaps

Consolidate:



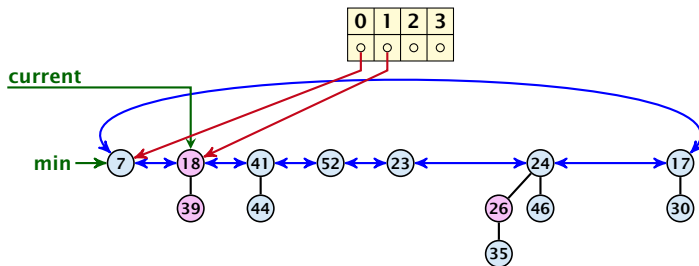
## 6.3 Fibonacci Heaps

Consolidate:



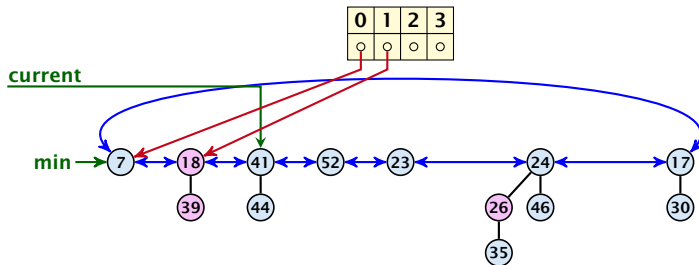
## 6.3 Fibonacci Heaps

Consolidate:



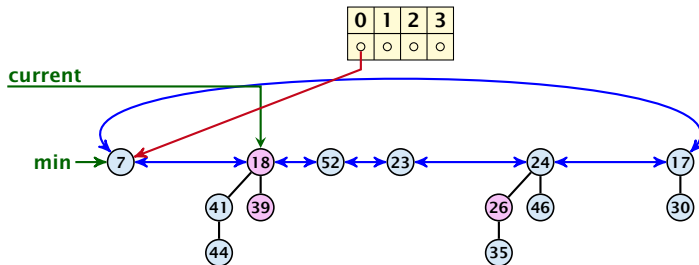
## 6.3 Fibonacci Heaps

Consolidate:



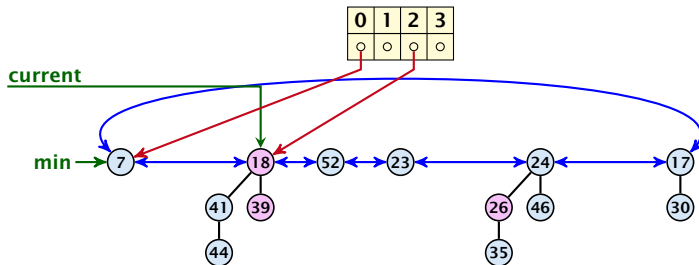
## 6.3 Fibonacci Heaps

Consolidate:



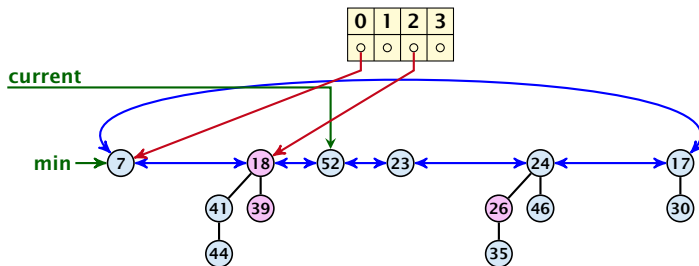
## 6.3 Fibonacci Heaps

Consolidate:



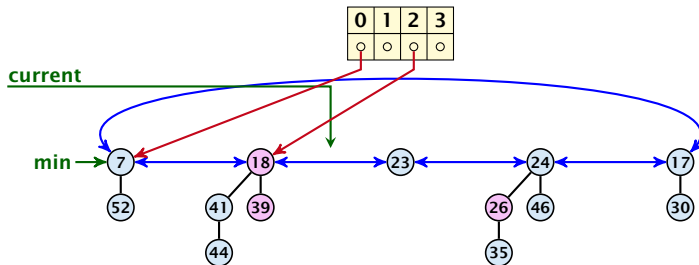
## 6.3 Fibonacci Heaps

Consolidate:



## 6.3 Fibonacci Heaps

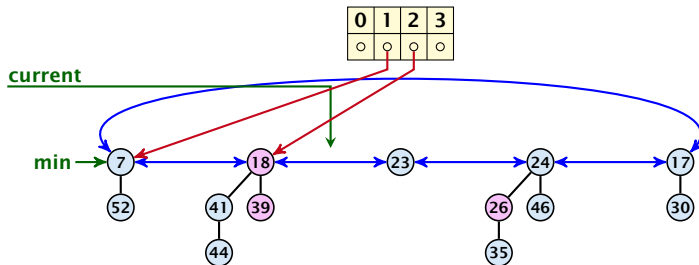
Consolidate:





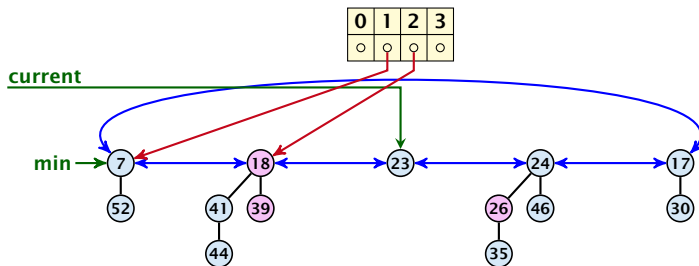
## 6.3 Fibonacci Heaps

Consolidate:



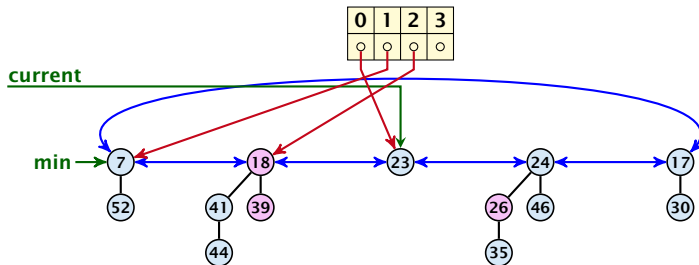
## 6.3 Fibonacci Heaps

Consolidate:



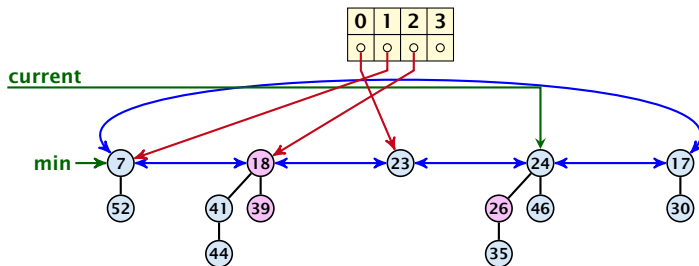
## 6.3 Fibonacci Heaps

Consolidate:



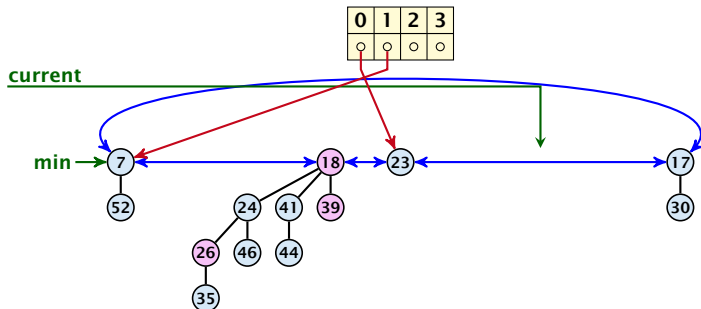
## 6.3 Fibonacci Heaps

Consolidate:



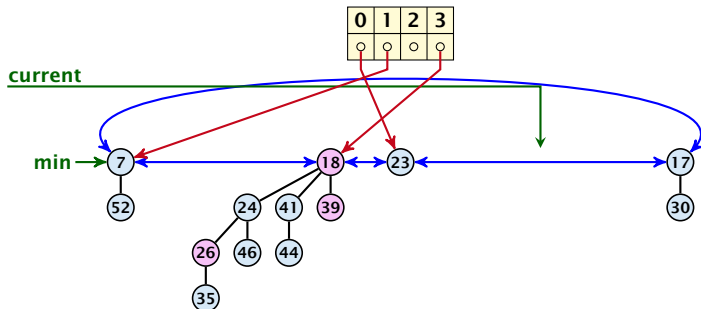
## 6.3 Fibonacci Heaps

Consolidate:



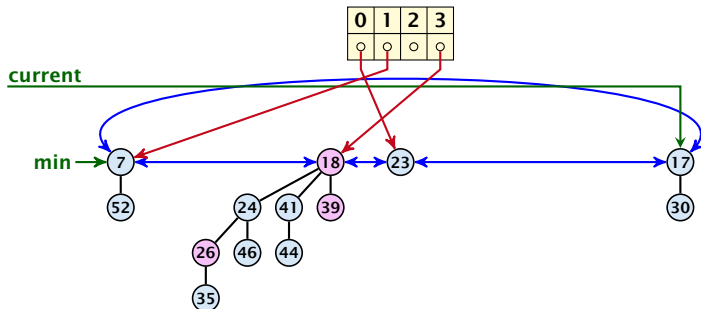
## 6.3 Fibonacci Heaps

Consolidate:



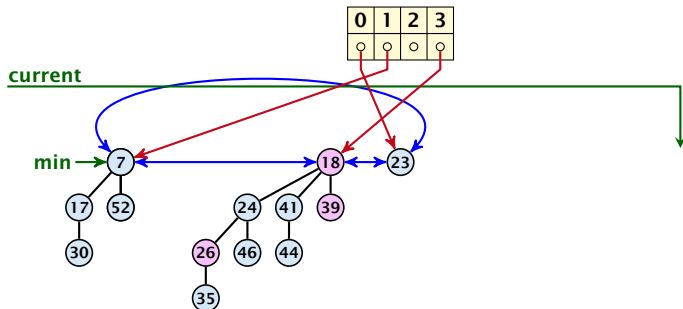
## 6.3 Fibonacci Heaps

Consolidate:



## 6.3 Fibonacci Heaps

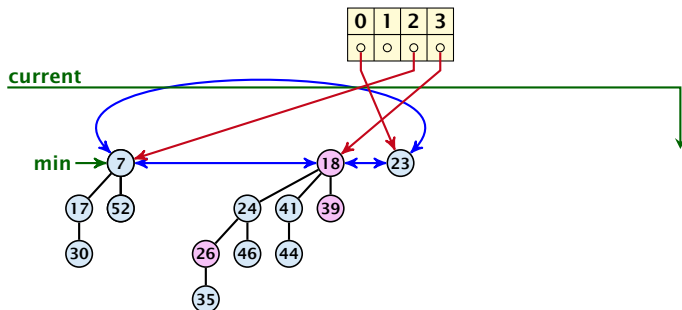
Consolidate:





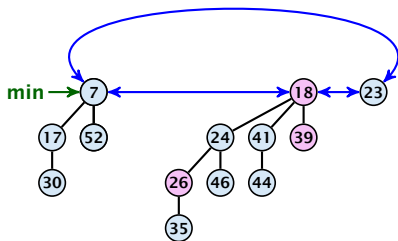
## 6.3 Fibonacci Heaps

Consolidate:



## 6.3 Fibonacci Heaps

Consolidate:



## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is



## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is

$$c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1)$$

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is

$$\begin{aligned}c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1) \\ \leq (c_1 + c)D_n + (c_1 - c)t + c\end{aligned}$$

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is

$$\begin{aligned}c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1) \\ \leq (c_1 + c)D_n + (c_1 - c)t + c \leq 2c(D_n + 1)\end{aligned}$$

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is

$$\begin{aligned}c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1) \\ \leq (c_1 + c)D_n + (c_1 - c)t + c \leq 2c(D_n + 1) \leq \mathcal{O}(D_n)\end{aligned}$$

## 6.3 Fibonacci Heaps

### Actual cost for delete-min()

- ▶ At most  $D_n + t$  elements in root-list before consolidate.
- ▶ Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ .  
Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

### Amortized cost for delete-min()

- ▶  $t' \leq D_n + 1$  as degrees are different after consolidating.
- ▶ Therefore  $\Delta\Phi \leq D_n + 1 - t$ ;
- ▶ We can pay  $c \cdot (t - D_n - 1)$  from the potential decrease.
- ▶ The amortized cost is

$$\begin{aligned}c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1) \\ \leq (c_1 + c)D_n + (c_1 - c)t + c \leq 2c(D_n + 1) \leq \mathcal{O}(D_n)\end{aligned}$$

for  $c \geq c_1$  .

## 6.3 Fibonacci Heaps

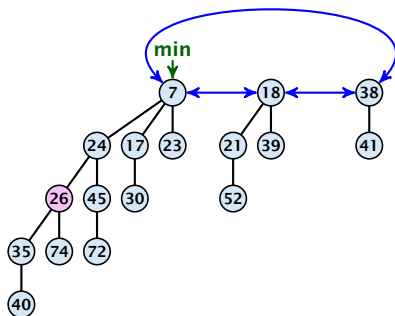
If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

## 6.3 Fibonacci Heaps

If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

If we do not have delete or decrease-key operations then  
 $D_n \leq \log n$ .

## Fibonacci Heaps: decrease-key(handle $h, v$ )

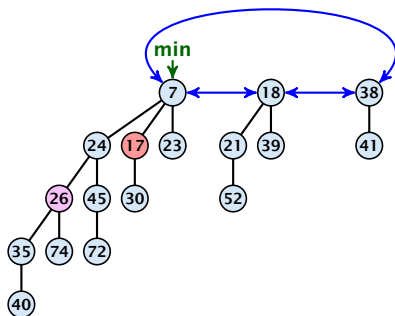


### Case 1: decrease-key does not violate heap-property

- ▶ Just decrease the key-value of element referenced by  $h$ . Nothing else to do.



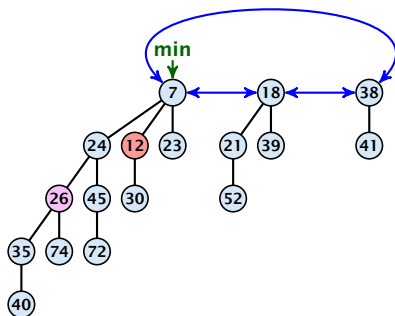
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 1: decrease-key does not violate heap-property

- ▶ Just decrease the key-value of element referenced by  $h$ . Nothing else to do.

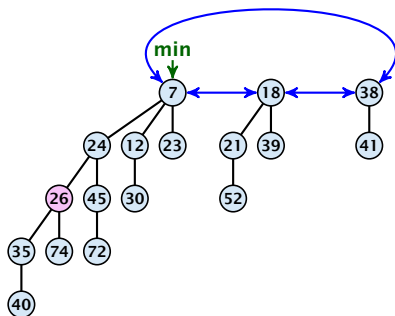
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 1: decrease-key does not violate heap-property

- ▶ Just decrease the key-value of element referenced by  $h$ . Nothing else to do.

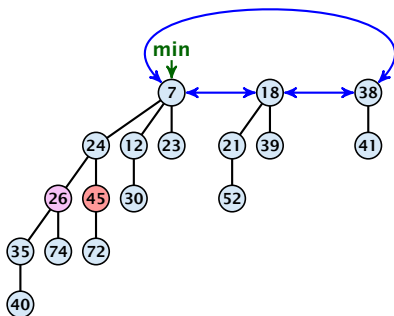
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 1: decrease-key does not violate heap-property

- ▶ Just decrease the key-value of element referenced by  $h$ . Nothing else to do.

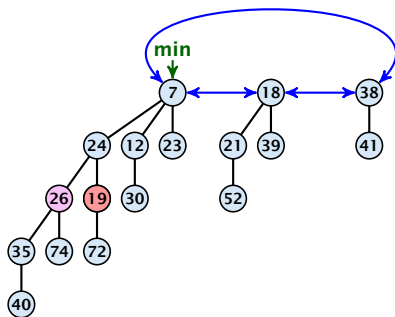
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 2: heap-property is violated, but parent is not marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ If the heap-property is violated, cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Mark the (previous) parent of  $x$  (unless it's a root).

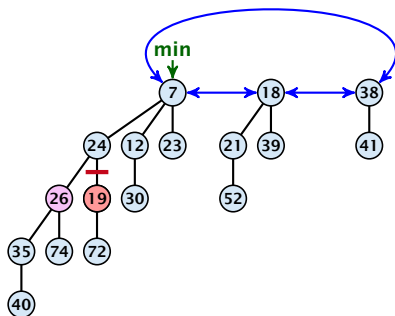
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 2: heap-property is violated, but parent is not marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ If the heap-property is violated, cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Mark the (previous) parent of  $x$  (unless it's a root).

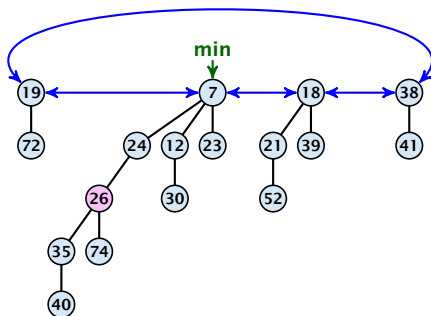
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 2: heap-property is violated, but parent is not marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ If the heap-property is violated, cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Mark the (previous) parent of  $x$  (unless it's a root).

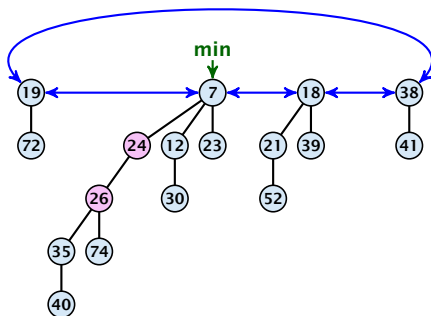
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 2: heap-property is violated, but parent is not marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ If the heap-property is violated, cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Mark the (previous) parent of  $x$  (unless it's a root).

## Fibonacci Heaps: decrease-key(handle $h, v$ )

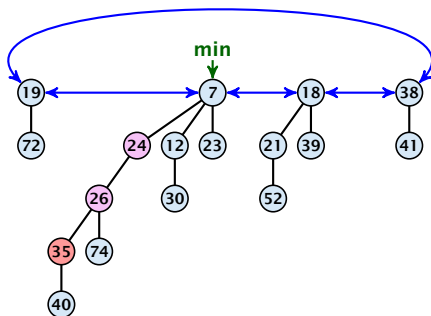


### Case 2: heap-property is violated, but parent is not marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ If the heap-property is violated, cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Mark the (previous) parent of  $x$  (unless it's a root).



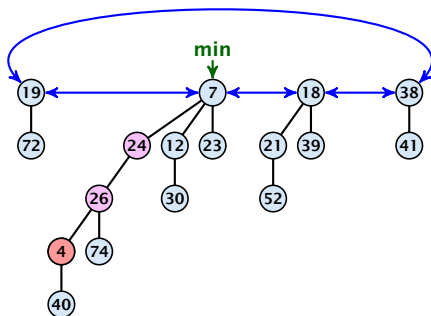
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

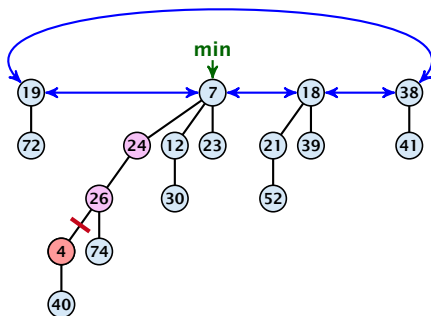
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

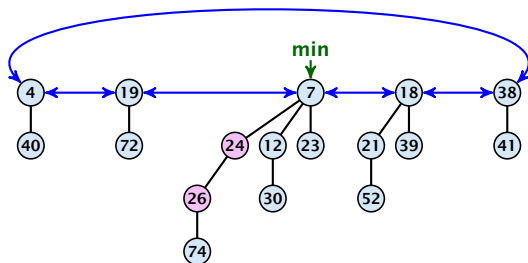
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

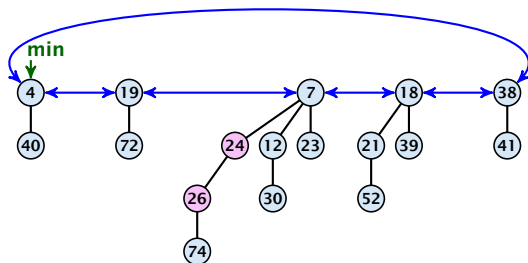
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

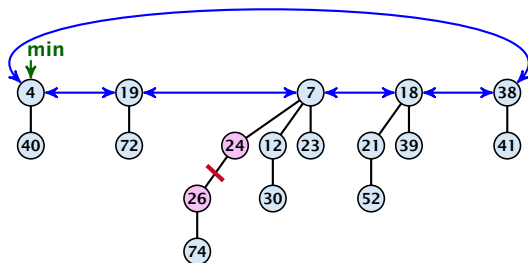
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

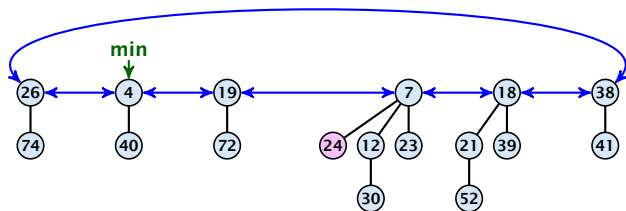
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

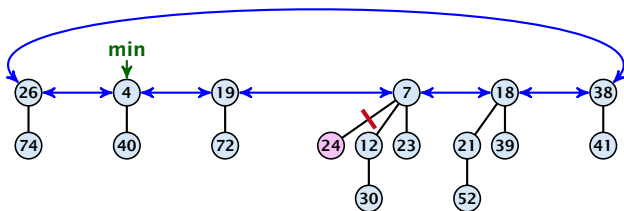
## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

## Fibonacci Heaps: decrease-key(handle $h, v$ )

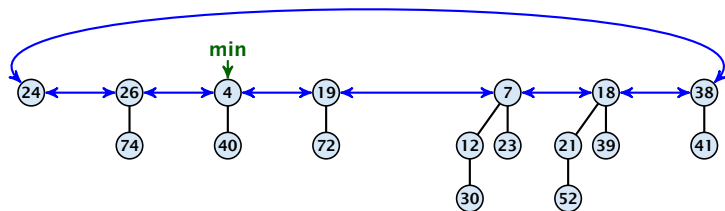


### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.



## Fibonacci Heaps: decrease-key(handle $h, v$ )



### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Continue cutting the parent until you arrive at an unmarked node.

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Case 3: heap-property is violated, and parent is marked

- ▶ Decrease key-value of element  $x$  reference by  $h$ .
- ▶ Cut the parent edge of  $x$ , and make  $x$  into a root.
- ▶ Adjust min-pointers, if necessary.
- ▶ Execute the following:

```
 $p \leftarrow \text{parent}[x];$   
while ( $p$  is marked)  
     $pp \leftarrow \text{parent}[p];$   
    cut of  $p$ ; make it into a root; unmark it;  
     $p \leftarrow pp;$   
if  $p$  is unmarked and not a root mark it;
```

# Fibonacci Heaps: decrease-key(handle $h, v$ )

**Actual cost:**

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.



## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.
- ▶  $\Delta\Phi \leq \ell + 2(-\ell + 2) = 4 - \ell$

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.
- ▶  $\Delta\Phi \leq \ell + 2(-\ell + 2) = 4 - \ell$
- ▶ Amortized cost is at most

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.
- ▶  $\Delta\Phi \leq \ell + 2(-\ell + 2) = 4 - \ell$
- ▶ Amortized cost is at most  
$$c_2(\ell + 1) + c(4 - \ell)$$

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.
- ▶  $\Delta\Phi \leq \ell + 2(-\ell + 2) = 4 - \ell$
- ▶ Amortized cost is at most
$$c_2(\ell + 1) + c(4 - \ell) \leq (c_2 - c)\ell + 4c + c_2$$

## Fibonacci Heaps: decrease-key(handle $h, v$ )

### Actual cost:

- ▶ Constant cost for decreasing the value.
- ▶ Constant cost for each of  $\ell$  cuts.
- ▶ Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

### Amortized cost:

- ▶  $t' = t + \ell$ , as every cut creates one new root.
- ▶  $m' \leq m - (\ell - 1) + 1 = m - \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.
- ▶  $\Delta\Phi \leq \ell + 2(-\ell + 2) = 4 - \ell$
- ▶ Amortized cost is at most
$$c_2(\ell + 1) + c(4 - \ell) \leq (c_2 - c)\ell + 4c + c_2 = \mathcal{O}(1),$$
if  $c \geq c_2$ .

# Delete node

***H. delete( $x$ ):***

- ▶ decrease value of  $x$  to  $-\infty$ .
- ▶ delete-min.

**Amortized cost:  $\mathcal{O}(D_n)$**

- ▶  $\mathcal{O}(1)$  for decrease-key.
- ▶  $\mathcal{O}(D_n)$  for delete-min.

## 6.3 Fibonacci Heaps

### Lemma 28

Let  $x$  be a node with degree  $k$  and let  $y_1, \dots, y_k$  denote the children of  $x$  in the order that they were linked to  $x$ . Then

$$\text{degree}(y_i) \geq \begin{cases} 0 & \text{if } i = 1 \\ i - 2 & \text{if } i > 1 \end{cases}$$

## 6.3 Fibonacci Heaps

### Proof

- ▶ When  $y_i$  was linked to  $x$ , at least  $y_1, \dots, y_{i-1}$  were already linked to  $x$ .



## 6.3 Fibonacci Heaps

### Proof

- ▶ When  $y_i$  was linked to  $x$ , at least  $y_1, \dots, y_{i-1}$  were already linked to  $x$ .
- ▶ Hence, at this time  $\text{degree}(x) \geq i - 1$ , and therefore also  $\text{degree}(y_i) \geq i - 1$  as the algorithm links nodes of equal degree only.

## 6.3 Fibonacci Heaps

### Proof

- ▶ When  $y_i$  was linked to  $x$ , at least  $y_1, \dots, y_{i-1}$  were already linked to  $x$ .
- ▶ Hence, at this time  $\text{degree}(x) \geq i - 1$ , and therefore also  $\text{degree}(y_i) \geq i - 1$  as the algorithm links nodes of equal degree only.
- ▶ Since, then  $y_i$  has lost at most one child.

## 6.3 Fibonacci Heaps

### Proof

- ▶ When  $y_i$  was linked to  $x$ , at least  $y_1, \dots, y_{i-1}$  were already linked to  $x$ .
- ▶ Hence, at this time  $\text{degree}(x) \geq i - 1$ , and therefore also  $\text{degree}(y_i) \geq i - 1$  as the algorithm links nodes of equal degree only.
- ▶ Since, then  $y_i$  has lost at most one child.
- ▶ Therefore,  $\text{degree}(y_i) \geq i - 2$ .

## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.

## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.
- ▶  $s_k$  monotonically increases with  $k$

## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.
- ▶  $s_k$  monotonically increases with  $k$
- ▶  $s_0 = 1$  and  $s_1 = 2$ .

## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.
- ▶  $s_k$  monotonically increases with  $k$
- ▶  $s_0 = 1$  and  $s_1 = 2$ .

Let  $x$  be a degree  $k$  node of size  $s_k$  and let  $y_1, \dots, y_k$  be its children.

$$s_k = 2 + \sum_{i=2}^k \text{size}(y_i)$$

## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.
- ▶  $s_k$  monotonically increases with  $k$
- ▶  $s_0 = 1$  and  $s_1 = 2$ .

Let  $x$  be a degree  $k$  node of size  $s_k$  and let  $y_1, \dots, y_k$  be its children.

$$\begin{aligned} s_k &= 2 + \sum_{i=2}^k \text{size}(y_i) \\ &\geq 2 + \sum_{i=2}^k s_{i-2} \end{aligned}$$



## 6.3 Fibonacci Heaps

- ▶ Let  $s_k$  be the minimum possible size of a sub-tree rooted at a node of degree  $k$  that can occur in a Fibonacci heap.
- ▶  $s_k$  monotonically increases with  $k$
- ▶  $s_0 = 1$  and  $s_1 = 2$ .

Let  $x$  be a degree  $k$  node of size  $s_k$  and let  $y_1, \dots, y_k$  be its children.

$$\begin{aligned} s_k &= 2 + \sum_{i=2}^k \text{size}(y_i) \\ &\geq 2 + \sum_{i=2}^k s_{i-2} \\ &= 2 + \sum_{i=0}^{k-2} s_i \end{aligned}$$

## 6.3 Fibonacci Heaps

### Definition 29

Consider the following non-standard Fibonacci type sequence:

$$F_k = \begin{cases} 1 & \text{if } k = 0 \\ 2 & \text{if } k = 1 \\ F_{k-1} + F_{k-2} & \text{if } k \geq 2 \end{cases}$$

### Facts:

1.  $F_k \geq \phi^k$ .
2. For  $k \geq 2$ :  $F_k = 2 + \sum_{i=0}^{k-2} F_i$ .

The above facts can be easily proved by induction. From this it follows that  $s_k \geq F_k \geq \phi^k$ , which gives that the maximum degree in a Fibonacci heap is logarithmic.

$$k=0: \quad 1 = F_0 \geq \Phi^0 = 1$$

$$k=1: \quad 2 = F_1 \geq \Phi^1 \approx 1.61$$

$$k-2, k-1 \rightarrow k: \quad F_k = F_{k-1} + F_{k-2} \geq \Phi^{k-1} + \Phi^{k-2} = \Phi^{k-2} \underbrace{(\Phi + 1)}_{\Phi^2} = \Phi^k$$

$$k=2: \quad 3 = F_2 = 2 + 1 = 2 + F_0$$

$$k-1 \rightarrow k: \quad F_k = F_{k-1} + F_{k-2} = 2 + \sum_{i=0}^{k-3} F_i + F_{k-2} = 2 + \sum_{i=0}^{k-2} F_i$$